

**Republic of the Philippines**  
**Department of Public Works and Highways**  
**Unified Project Management Office**  
**Roads Management Cluster – II (Multilateral)**

**Mindanao Transport Connectivity Improvement**  
**Project (MTCIP)**  
**P177017**

**FINAL**  
**ENVIRONMENTAL AND SOCIAL**  
**COMMITMENT PLAN (ESCP)**

**January 16, 2025**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of the Philippines (the Borrower) will implement the Mindanao Transport Connectivity Improvement Project (the Project, MTCIP), with the involvement of the Department of Public Works and Highways (DPWH), as set out in the Loan Agreement. The International Bank for Reconstruction and Development (the Bank) has agreed to provide financing for the Project (P177017), as set out in the referred agreement(s).
2. The Borrower shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the World Bank. The ESCP is a part of the Loan Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement(s).
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Borrower shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the World Bank. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Bank.
4. As agreed by the Bank and the Borrower, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Borrower, through DPWH, and the Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Project Director of Unified Project Management Office (UPMO) – Roads Management Cluster 2 (Multilateral). The Borrower shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the World Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&amp;S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s).</p>	Submit six-monthly reports to the World Bank throughout Project implementation, commencing after the Effective Date. Submit each report to the World Bank no later than 15 days after the end of each reporting period and at least 10 days before the implementation support mission.	DPWH
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the World Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the World Bank's request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the World Bank no later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the World Bank within a timeframe acceptable to the World Bank.</p>	DPWH
C	<p><b>CONTRACTORS' MONTHLY REPORTS</b></p> <p>Require contractors and supervising firms to provide monthly monitoring reports on ESHS performance in accordance with the metrics specified in the respective bidding documents and contracts, and submit such reports to the World Bank.</p>	Submit the monthly reports to the World Bank as annexes to the reports to be submitted under action A above.	DPWH
D	<p><b>NOTIFICATIONS RELATING TO DAAB COMPLIANCE REVIEW OF CONTRACTOR COMPLIANCE WITH SEA/SH PREVENTION AND RESPONSE OBLIGATIONS</b></p> <p>Notify the World Bank of any referral submitted to the Dispute Avoidance and Adjudication Board (DAAB) to initiate a process of compliance review in relation to a contractor's obligations to prevent and respond to sexual exploitation and abuse (SEA), and/or sexual harassment (SH) specified in the respective works contract with such contractor; and, in the event of any such referral, notify the Bank of: (i) the DAAB's decision on such referral; (ii) the contractor's Notice of Dissatisfaction, if any, with such DAAB decision; (iii) any notification received on the commencement of an emergency</p>	No later than 7 days after the issuance or receipt, as applicable, of the relevant document (i.e., referral to the DAAB, issuance of DAAB decision, Notice of Dissatisfaction, notice of commencement of emergency/full arbitration, emergency/full arbitration order, as applicable).	Not applicable

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	arbitration proceeding or full arbitration proceeding in relation to the DAAB's decision; and (iv) the resulting emergency arbitration order and/or full arbitration order, if any.		
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>Maintain an Environmental and Social Safeguards Unit (ESSU) within the UPMO RMC-II with qualified and trained staff and resources to support management of ESHS risks and impacts of the Project, including environmental specialists, social specialists, health &amp; safety specialist, gender specialist, stakeholder engagement specialist, and other specialists as may be determined during project implementation. Furthermore:</p> <ul style="list-style-type: none"> <li>(a) At the DPWH Central Office, continue and maintain the existing ESSU with at least one permanent staff as senior environmental specialist and one permanent staff as senior social specialist who shall be responsible for overall management of ESHS risks and impacts of the Project; and</li> <li>(b) At the relevant DPWH Regional Offices and District Engineering Offices, designate environmental and social safeguards focal persons who shall be responsible for providing technical assistance to the ESSU and coordinating with local government units (LGUs) in the conduct of safeguards activities, monitoring and reporting of the sub-projects.</li> </ul>	Maintain a ESSU of RMC-II, UPMO as set out in the approved organizational chart.	DPWH
1.2	<p><b>ENVIRONMENTAL AND SOCIAL INSTRUMENTS</b></p> <ul style="list-style-type: none"> <li>(a) Adopt and implement the Environmental and Social Management Framework (ESMF), Environmental and Social Impact Assessment (ESIA), and the Environmental and Social Management Plan (ESMP) of the Project, consistent with the relevant ESSs.</li> <li>(b) Cause the DPWH RO/DEO to screen and assess the environmental and social (E&amp;S) risks and impacts of the sub-projects, and prepare, adopt, and implement the site-specific Environmental and Social Management Plan (ESMP) and its associated plans such as Traffic Management Plan, consistent with the ESMF. Specifically for Link Road 1, conduct a biodiversity impact assessment as part of the detailed ESIA to be prepared for the subprojects during the project implementation and ensure that the findings and recommendations are incorporated in the site-specific ESMP for Link Road 1.</li> </ul>	<ul style="list-style-type: none"> <li>(a) ESMF, ESIA and ESMP adopted and disclosed on July 17, 2024, and thereafter implement the ESMF, ESIA and ESMP throughout Project implementation.</li> <li>(b) Adopt the site-specific ESMP and its associated plans before launching the bidding process for the respective civil works package prior to carrying out sub-project activity that requires the adoption of such ESMP. Once adopted, implement the respective ESMP throughout Project implementation.</li> </ul>	DPWH

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>(c) Cause the DPWH RO/DEO to prepare the environmental assessment documents to support the application of Environmental Compliance Certificate (ECC) or Certificate of Non-Coverage (CNC) for each sub-project, consistent with the screening and assessment in item 1.2 (b).</p> <p>(d) Coordinate with the oversight and line agencies such as the DENR-EMB, NCIP, DOLE, etc. to adopt the relevant measures in the ESMF and ESMP of the Project, as applicable.</p>	<p>(c) Prior to the implementation of sub-projects</p> <p>(d) Prior to carrying out sub-project activity that requires the adoption of specific E&amp;S risk management instruments and throughout Project implementation.</p>	
1.3	<p><b>MANAGEMENT OF CONTRACTORS</b></p> <p>Incorporate the relevant aspects of the ESCP, including, inter alia, the relevant E&amp;S instruments, the Labor Management Procedures, and code of conduct, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p>	Supervise contractors throughout Project implementation.	DPWH
1.4	<p><b>TECHNICAL ASSISTANCE</b></p> <p>Ensure that the consultancies, studies (including feasibility studies, if applicable), capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the Bank, that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	Throughout Project implementation.	DPWH
1.5	<p><b>CONTINGENT EMERGENCY RESPONSE FINANCING</b></p> <p>a) Ensure that the CERC Manual, as specified the legal agreement, includes a description of the ESHS assessment and management arrangements including, the ESMF Addendum that will be included or referred to in the CERC Manual for the implementation of the CERC Component (Component 5) of the Project, in accordance with the ESSs.</p> <p>b) Adopt any environmental and social (E&amp;S) instruments which may be required for activities under CERC Component 5) of the Project, in accordance with the CERC Manual and CERC-ESMF Addendum and the ESSs, and thereafter implement the measures and</p>	a) The adoption of the CERC Manual and, if applicable, other instruments as relevant, in form and substance acceptable to the Bank is a withdrawal condition under Section I. E of Schedule 2 of the loan agreement for the Project.	DPWH

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	actions required under said E&S instruments, within the timeframes specified in said E&S instruments.	b) Adopt any required E&S instrument and include it as part of the respective bidding process, if applicable, and in any case, before the carrying out of the relevant Project activities for which the E&S instrument is required. Implement the E&S instruments in accordance with their terms, throughout Project implementation.	
1.6	<b>ASSOCIATED FACILITIES</b>  Cause the owner/operator of the associated facility to ensure that the activities in the identified associated facility, as defined under ESS1, are carried out in accordance with the applicable requirements of this ESCP and the ESSs, including, inter alia, the ESIA, ESMP, LMP, management of contractors, RAP, SEP, etc.	Throughout Project implementation.	DPWH
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<b>LABOR MANAGEMENT PROCEDURES</b>  Adopt and implement the Labor Management Procedures (LMP) for the Project, including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.	LMP adopted and disclosed on July 17, 2024 , and thereafter implement the LMP throughout Project implementation.	DPWH
2.2	<b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b>  Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.	Establish grievance mechanism prior engaging contractors and thereafter maintain and operate it throughout Project implementation.	DPWH
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	<b>WASTE MANAGEMENT PLAN</b> Adopt and implement a Waste Management Plan (WMP) as per RA 9003, to manage hazardous and non-hazardous wastes as per RA 6969, consistent with ESS3.	Same timeframe as for the adoption and implementation of the ESMP, and thereafter implement the WMP throughout sub-project implementation	DPWH
3.2	<b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>	Same timeframe as for the adoption and implementation of	DPWH

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	Incorporate resource efficiency and pollution prevention and management measures in the ESMP under action 1.2 (b) above.	the ESMP	
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	<b>TRAFFIC AND ROAD SAFETY</b> Incorporate measures to manage traffic and road safety risks as required in the ESMP to be prepared under action 1.2 above.	Same timeframe as for the adoption and implementation of the ESMP	DPWH
4.2	<b>COMMUNITY HEALTH AND SAFETY</b> Assess and manage specific risks and impacts to the community arising from Project activities which includes the risks of labor influx should be managed under RA 6685 (local hiring), behavior of project workers, and response to emergency situations, and include mitigation measures in the ESMPs to be prepared in accordance with the ESMF.	Same timeframe as for the adoption and implementation of the ESMP	DPWH
4.3	<b>SEA AND SH RISKS</b> Not applicable as the Project is rated low.		
4.4	<b>SECURITY MANAGEMENT</b> Not applicable.		
4.5	<b>INVOLVEMENT OF THE MILITARY</b> Not applicable.		
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
5.1	<b>RESETTLEMENT POLICY FRAMEWORK</b> Adopt and implement a Resettlement Policy Framework (RPF) for the Project, consistent with ESS5.	RPF adopted and disclosed on July 17, 2024, and apply the RPF throughout project implementation	DPWH
5.2	<b>RESETTLEMENT PLANS</b> Adopt and implement a resettlement action plan (RAP) for each sub-project activity under the Project as set out in the RPF, and consistent with ESS5.	Prior to subproject implementation, RAP prepared and PAPs should have been fully compensated as per the RPF	DPWH
5.3	<b>GRIEVANCE MECHANISM</b>		Not applicable; will be subsumed under GRM for stakeholders
5.4	<b>LEGACY ISSUES</b> Assess land and compensations legacy issues as part of the specific social assessments and agree on remedial actions with World Bank where applicable	During social assessments	DPWH
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
6.1	<b>BIODIVERSITY RISKS AND IMPACTS</b>	Prior to the implementation of sub-project activities, and thereafter implement the BMP throughout Project implementation.	DPWH UPMO / RO/ DEO

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	Conduct an assessment of biodiversity as part of the environmental and social risk screening of relevant subprojects, and include relevant mitigation measures in the site-specific ESMP. Adopt and implement the biodiversity impact and risk management measures for the relevant sub-projects (e.g., Link Road 1) as part of the site-specific ESMP in accordance with the guidelines of the ESIA prepared for the Project, and consistent with ESS6.		
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
7.1	<b>INDIGENOUS PEOPLES PLANNING FRAMEWORK</b>  Adopt and implement an Indigenous Peoples Planning Framework (IPPF) for the Project, consistent with ESS7.	IPPF adopted and disclosed on July 17, 2024, and thereafter implement the IPPF throughout Project implementation.	DPWH
7.2	<b>INDIGENOUS PEOPLES PLAN</b>  Adopt and implement an Indigenous Peoples Plan (IPP) for each activity under the Project for which the IPPF requires such IPP, as set out in the IPPF, and consistent with ESS7.	Prepare and adopt the IPP at subproject preparation. Once adopted, implement the respective IPP throughout subproject implementation.	DPWH
7.3	<b>GRIEVANCE MECHANISM</b>		Not applicable
<b>ESS 8: CULTURAL HERITAGE</b>			
8.1	<b>CULTURAL HERITAGE RISKS AND IMPACTS</b>  If deemed relevant based on the result of action 1.2 (b), adopt and implement a Chance Finds Procedures in accordance with the guidelines of the ESIA and ESMP prepared for the Project, and consistent with ESS8.	Adopt the CHMP prior to civil works implementation, and thereafter implement the CHMP throughout Project implementation.	DPWH UPMO
8.2	<b>CHANCE FINDS</b> Describe and implement the chance finds procedures as part of the ESMP of the Project.	Chance find procedures is included in the ESMP adopted and disclosed on July 17, 2024. Implement the procedures throughout Project implementation.	
<b>ESS 9: FINANCIAL INTERMEDIARIES</b>			
	Not relevant based on current project design.		



ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<b>STAKEHOLDER ENGAGEMENT FRAMEWORK PREPARATION</b>  Adopt and implement a Stakeholder Engagement Framework (SEF) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.	SEF adopted and disclosed on July 17, 2024, and apply throughout project implementation	DPWH
10.2	<b>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</b>  Adopt and implement a Stakeholder Engagement Plan (SEP) for the subprojects, consistent with the SEF and ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.	Prepare and adopt a Stakeholder Engagement Plan at subproject preparation and implement throughout subproject implementation.	DPWH
10.3	<b>PROJECT GRIEVANCE MECHANISM</b>  Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.  The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.	Establish the grievance mechanism during the Detailed Engineering Design and thereafter maintain and operate the mechanism throughout Project implementation.	DPWH
CAPACITY SUPPORT			
CS1	Continuous capacity-building for DPWH UPMO ESSU, RO/DEO E&S Safeguards Focal Persons on: <ul style="list-style-type: none"> <li>• ESF, the environmental and social standards, and the ESF instruments (e.g., ESMF, ESIA/ESMP, site-specific ESMP, RPF/RAP, IPPF/IPP, SZE/SEP)</li> <li>• Conflict sensitivity and conflict assessment including stakeholder mapping and engagement for project implementers</li> </ul>	To be conducted in year 1 of project implementation and as necessary thereafter	DPWH

	<ul style="list-style-type: none"><li>Climate change and disaster resilience in the transport sector</li></ul>		
CS2	Training for Project workers on occupational health and safety, including on emergency prevention and preparedness and response arrangements to emergency situations.	Before the implementation of sub-project activities	DPWH