097.7 DPWH
11-12-2021

Republic of the Philippines
DEPARTMENT OF PUBLIC WORKS AND HIGHWAYS
CENTRAL OFFICE
Manila

0 9 NOV 2021

MEMORANDUM

FOR : **ALL UNDERSECRETARIES**
ASSISTANT SECRETARIES
REGIONAL DIRECTORS
BUREAU DIRECTORS
UPMO PROJECT DIRECTORS
SERVICE DIRECTORS
DISTRICT ENGINEERS
This Department

SUBJECT : **DPWH Devolution Transition Plan (DTP)**

This refers to the DPWH Devolution Transition Plan (DTP) signed by Secretary Roger G. Mercado and submitted to the Department of Budget and Management (DBM) through his letter dated October 26, 2021, in compliance with the Executive Order No. 138, series of 2021.

Executive Order No. 138 and its Implementing Rules and Regulations (IRR) mandates the "full devolution of certain functions of the executive branch to local governments, creation of a committee on devolution, and for other purposes." The Executive Order required national government agencies to prepare and submit their respective DTPs. Subsequently, a Technical Working Group (TWG) was created by virtue of DPWH Special Order No. 192, series of 2021. The DTP was prepared by the TWG consistent with the mandates of DPWH and guided by Republic Act No. 7160 or the "Local Government Code".

Hence, copies of the said letter and of the DPWH DTP Matrices are hereby disseminated to all the Undersecretaries, Assistant Secretaries, Directors and District Engineers of this Department for reference and guidance. In this regard, ensure that the functions and activities of your respective offices follow the same direction, principles, timelines, and transition strategies that are reflected in the DTP.

For strict compliance.

MARIA CATALINA E. CABRAL, PhD., CESO I
Undersecretary for Information Management, Planning and PPP Services

Encl.: (1) DPWH DTP submitted through DPWH Letter to DBM (Oct. 26, 2021) with Annexes A-F (DTP Matrices)
(2) DPWH Organizational Structure, per D.O. 108, s. 2020
(3) DBM Letter to DPWH (June 16, 2021)
(4) DPWH Special Order No. 192, s. 2021

4.1.2 MJSC/PVG/MCEC

In following-up, pls. cite DMS ref #
2021-OS-0114833

REPUBLIC OF THE PHILIPPINES
DEPARTMENT OF BUDGET AND MANAGEMENT
GENERAL SOLANO STREET, SAN MIGUEL, MANILA

ACKNOWLEDGEMENT RECEIPT

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Manila

OCT 2 6 2021

TINA ROSE MARIE L. CANDA
OIC-Secretary
Department of Budget and Management
Malacañang, Manila

SUBJECT : Submission of the DPWH Devolution Transition Plan (DTP)

Dear **OIC-Sec. CANDA:**

In compliance with Executive Order (E.O.) No 138 series of 2021 and its Implementing Rules and Regulations (IRR) mandating the "full devolution of certain functions of the executive branch to local governments, creation of a committee on devolution, and for other purposes", the Department of Public Works and Highways (DPWH) hereby submits its Devolution Transition Plan (DTP).

Background

The Department of Public Works and Highways (DPWH) is the engineering and construction arm of the Philippine government. The Department functions in accordance with the declaration of policy under Section 3 of Executive Order No. 124, dated January 30, 1987 issued by then President Corazon C. Aquino, which states,

"The State shall maintain an engineering and construction arm and continuously develop its technology, for the purposes of ensuring the safety of all infrastructure facilities and securing for all public works and highways the highest efficiency and the most appropriate quality in construction.

The planning, design, construction and maintenance of infrastructure facilities, especially national highways, flood control and water resource development systems, and other public works in accordance with national development objectives, shall be the responsibility of such engineering and construction arm."

As a public service agency, the DPWH strives to find and to adopt the most effective and efficient ways to serve the Filipino people. It is driven by its quality policy to produce the right project at the right cost, delivered with the right quality and right on time.

In terms of size and budget, the DPWH is one of the largest organizations in the executive branch of the Philippine government. Under its approved Rationalization Plan in 2013, the DPWH is composed of six (6) bureaus, nine (9) services, 16 regional offices, 183 district engineering offices, and five (5) Unified Project Management Offices (see attached DPWH Organizational Chart).

Policy and Legal Bases of the Devolution of Services and Functions:

1. Section 2(a) of the Republic Act No. 7160 or the "Local Government Code of 1991" states that:

   "It is hereby declared the policy of the State that the territorial and political subdivisions of the State shall enjoy genuine and meaningful local autonomy to enable them to attain their fullest development as self-reliant communities and make them more effective partners in the attainment of national goals. Toward this end, the State shall provide for a more responsive and accountable local government structure instituted through a system of decentralization whereby local government units shall be given more powers, authority, responsibilities, and resources. The process of decentralization shall proceed from the national government to the local government units."

2. The Operative Principles of Decentralization are stipulated in Section 3 of the Local Government Code of 1991. The provisions include that:

   "(d) The vesting of duty, responsibility and accountability in local government units shall be accompanied with provision for reasonably adequate resources to discharge their powers and effectively carry out their functions: hence, they shall have the power to create and broaden their own sources of revenue and the right to a just share in national taxes and an equitable share in the proceeds of the utilization and development of the national wealth within their respective areas."

3. Section 17 of RA 7160 states that:

   (e) National agencies or offices concerned **shall devolve to local government units the responsibility for the provision of basic services and facilities** enumerated in this Section ...;

   (f) The national government or the next higher level of local government unit may provide or augment the basic services and facilities assigned to a lower level of local government unit when such services or facilities are not made available or, if made available, are inadequate to meet the requirements of its inhabitants.

   (g) The basic services and facilities hereinabove enumerated shall be funded from the share of local government units in the proceeds of national taxes and other local revenues and funding support from the national government, its instrumentalities and government-owned or controlled corporations which are tasked by law to establish and maintain such services or facilities. Any fund or resource available for the use of local government units shall be first allocated for the provision of basic services or facilities enumerated in subsection (b) hereof before applying the same for other purposes, unless otherwise provided in this Code.

4. The Supreme Court ruling on *Mandanas et. al. v. Executive Secretary, et. al (G.R. Nos. 199802 and 208488)*, hereinafter referred to as "*Mandanas*" held that all collections of national taxes, except those accruing to special purpose funds and special allotments for the utilization and development of the national wealth, should be included in the computation of the base of the just share of the LGUs.

   This substantial increase in the LGU share from the national taxes is expected to empower the LGUs in providing basic services and facilities to their constituents, and to aid the effective devolution of duties and functions from the NGAs to the LGUs.

5. Section 5 of Executive Order (EO) No. 138 directs National Government Agencies (NGAs) and all LGUs to "*prepare their respective devolution transition plans (DTPs) which conform to the guidelines to be jointly issued by the Department of Budget and Management (DBM) and the Department of the Interior and Local Government (DILG).*

   xxx

   The NGA DTPs shall identify and clarify the functions and services devolved to the LGUs, by level of LGU, based on RA No. 7160 and other relevant laws, and the strategy for and phasing of devolution to the LGUs. They shall also include the definition of standards for the delivery of devolved services; strategy for the capacity development of the LGUs; framework for monitoring and performance assessment of the LGUs; and an organizational effectiveness proposal to strengthen the department/agency in assuming "steering functions" as part of the devolution efforts."

6. By the issuance of Special Order No. 192, series of 2021, the DPWH created a Technical Working Group (TWG) and its Secretariat for the preparation of the agency's DTP.

7. In the determination of which functions would be best fully devolved to the LGUs and which should be left to the DPWH, the Department was guided with the following principles under Section 2 of EO 138:

   "a. NG to **set national policy, development strategy, and service delivery standards**, and assist, oversee and **supervise LGUs**
   b. Devolution of basic services to LGUs and the determination of the functional assignments between and among the different levels of government shall be guided by the following:
      i. Public services with little or no benefit spillover are best administered and financed by lower level governments, while public services with significant inter-jurisdictional externalities and cost spillovers are best assigned to higher levels of government;
      ii. Public goods and services that involve economies of scale are best assigned to higher levels of government; and
      iii. The redistributive role of government should be assigned to the NG
   c. NG, in close collaboration with LGUs, to formulate and pursue an institutional development program to support LGUs in strengthening their capacities and capabilities to fully assume devolved functions."

8. In addition, the **DPWH is guided by the June 16, 2021 DBM letter** (copy attached) regarding a) Inter-agency convergence programs with the DPWH; b) Local Infrastructure Program (LIP)/Various Infrastructure Including Local Program (VIILP); and c) Creation of the Basic Infrastructure Program (BIO) and Sustainable Infrastructure Projects Alleviating Gaps (SIPAG)

9. The DPWH, as a national government agency, plays a significant role in the institutionalization of national policy, identification of development strategies, and the determination of service delivery standards in the devolution of services and functions. In the course of the devolution, the DPWH shall assist, oversee, and supervise the LGUs and to provide complementary support to the implementing role of the LGUs, as necessary.

10. Consistent with the guidelines jointly issued by the Department of Budget and Management (DBM) and the Department of the Interior and Local Government (DILG), the DPWH recognizes that the devolution of its functions/services/facilities/PPAs shall be done in phases within a three (3) year transition period starting FY 2022. The full devolution process shall be undertaken by not later than the end of FY 2024.

DEVOLUTION TRANSITION PLAN (DTP) OF THE DEPARTMENT OF PUBLIC WORKS AND HIGHWAYS (DPWH)

1. Strategic Direction

The preparation of the DPWH Devolution Transition Plan was a collaborative effort of different DPWH offices that are responsible for various functions and activities. Most activities presented in the DTP matrices follow the sequence of the Project Development Cycle which includes Planning and Design, Procurement, Implementation, Monitoring, and Evaluation.

The DPWH considers the Devolution Transition as a great opportunity to capacitate LGUs and to enable them to undertake their own initiatives that are responsive to the needs of their constituents.

With the local infrastructure projects fully devolved to the LGUs, the DPWH may realign some of its focus and resources towards the functions and services that are maintained within its purview.

2. Assignment of Functions, Services, and Facilities

In keeping with the above-listed decision criteria for the determination of functions and services either to be devolved to the LGUs or the be maintained with the national government, the DPWH has the following direction in terms of assignment of functions, services, and facilities:

2.a. Local Infrastructure Program (LIP) and Various Infrastructure Including Local Projects (VIILP)

Based on the letter of DBM to DPWH dated June 16, 2021, the LIP and the VIILP "should be discontinued soonest" since "projects under said programs mainly consist of local roads and bridges, water and sanitation facilities, multi-purpose facilities, and other local infrastructure facilities which are already devolved to the LGUs per the LGC and other pertinent laws." .

Further, contained in the same letter are the directions for DPWH to:

1. Refrain from including in the budget proposals, funding for devolved local projects for LGUs belonging to 1st and 4th income classes, and instead include the funding requirement for capacity building of these LGUs to enable them to assume the devolved functions; and
2. Limit the subsidies for local projects belonging to the 5th and 6th income classes, the GIDAs, and those with the highest poverty incidences.

Consistent with the mandate of the Section 17 (f) of the LGC, the Sustainable Infrastructure Projects Alleviating Gaps (SIPAG) and the Basic Infrastructure Program (BIP) were created, together with strict criteria and documentary requirements for LGUs.

2.b. Inter-Agency Convergence Programs

In relation to the Convergence Programs of the DPWH (e.g. DOT-DPWH, DTI-DPWH, etc.), the June 16, 2021 letter of DBM reiterated that the:

- Convergence programs are "set up to accelerate infrastructure development in priority sectors with significant impact or contribution to the realization of national inclusive growth and development goals, such as on tourism, trade, and industry, transportation and logistics, food security, and national security."
- "Considering the interjurisdictional and nationwide impact of the convergence programs, the same could be **more appropriately done by the national government**. This is likewise consistent with existing legal mandates that infrastructure projects of national significance shall be the responsibility of the DPWH"
- "During a consultation meeting between DPWH and the Inter-agency Technical Working Group on Devolution on 18 February 2021, among the key discussion/agreements was that **the convergence programs will be retained with the NGAs given the nature of these programs/projects**."

Therefore, the DPWH firmly maintains its position of keeping the Inter-Agency Convergence Programs under the responsibility of the DPWH and by their respective national government agency partner in each convergence program. DPWH shall continue working with its convergence partners to amend and upgrade its policies and prioritization processes to incorporate the guidance declared by Section 2 of the EO 138, most especially on the ability of the Convergence Projects to generate "significant inter-jurisdictional externalities".

2.c. Fully Devolved Spending Programs

DPWH shall fully devolve any spending program related to Operations and Maintenance of local infrastructure projects, as well as funding for right-of-way acquisition and related expenses.

Project proposed under BIP and SIPAG below Php 5.0 Million per project will be fully devolved to LGUs. For project proposal that is only for completion of their respective scopes of work, below Php 2.0 Million per project shall likewise be devolved to their respective LGUs.

2.d. Transition Activities

The Devolution Transition Activities for the Local Infrastructure Program shall be conducted starting from the 3rd Quarter of 2021 and shall be completed by not later than the 4th Quarter of 2024.

Furthermore, the DPWH has formulated an Implementation Strategy towards the eventual, full devolution transition by 2024. The strategies, as also presented in **Annex B**, are as follows:

1. Creation of DPWH Steering Committee and Task Group
2. Conduct of Dialogue with DILG, other NGAs regarding the processes and requirements for the preparation of the DTP
3. Consultation with LGUs, to level off with and to inform them of the PPAs to be devolved or discontinued, and to resolve concerns and issues

4. Conduct of DPWH Training of Trainers on the Different Activities in the Project Development Cycle
5. Provision of Capacity Building/Technical Assistance to the LGUs.

3. Service Delivery Standards

Annex C-1 provides for the identification and inventory standards for the delivery of devolved services. These standards cover the prescribed cost, scope, specifications, and quality of the devolved services to be delivered by the LGUs.

It should be understood, however, that these standards and processes may be subject to the changes and improvement of the LGUs over time, depending on their capacities and innovativeness, and as allowed for by existing and prevailing laws/policies.

The DPWH DTP presents the agency's prescribed Service Delivery Standards (SDS) that are essentially based on the following legal bases and technical references, among others:

- National Building Code of the Philippines
- National Structural Code of the Philippines
- National Policies on Mechanical Engineering, Electrical Engineering, and Fire Protection
- National Procurement Law (Republic Act No. 1984)
- DPWH Guidelines/Policy Issuances on Planning, Design, and Construction Activities
- Other Pertinent National Laws/Policies and Administrative Issuances
- Local Zoning Ordinances

The Summary of Recommended Organizational Structure and Staffing Complement for the LGUs are likewise presented in **Annex C-2**.

The DPWH recognizes that pending the creation of new units and hiring of additional personnel by the LGUs, the capacity building activities may be intended for the existing, especially the mandatory offices and personnel in the LGUs. The DPWH believes that the success of the capacity building activities would highly depend on the availability of suitable, adept, and committed LGU personnel that can be trained, in order to ensure the effectiveness and continuity of knowledge- and skill-sharing.

4. NGA Capacity Development Strategy

To enable its effective and efficient steering of devolved functions and services to the LGUs, the DPWH developed a formal strategy for its Capacity Building activities intended for the *future* trainers from the DPWH Regional and District Engineering Offices. The DPWH Regional Offices and District Offices will serve as the LGUs touchpoints in all matters relevant to the devolved program. This is aimed to decentralize or to cascade specialized knowledge, process methodologies, and policy guidance from the DPWH Central Office.

Annex D details the implementation strategies, schedule, and tools required for a series of "Training of Trainers" on the following subject matters, among others:

- Output and Impact Monitoring and Evaluation
- Conduct of In-House Feasibility Studies for Roads and Bridge Projects

- Conduct of ESIA, Preparation of RAP and GAD
- Conduct of Road Inventory, Road Condition Assessment Surveys, and Roughness Surveys
- Conduct of VOC/RUC Surveys, Gathering/Consolidation of Socio-Economic Data
- Data Management using IT-based Applications
- The Philippine Procurement System Applications and the RIRR of RA 9184
- Comprehensive Training for Project Engineers
- Quality Assurance in Project Implementation
- Road Safety and Traffic Engineering and Management, Surveys, Analysis, and Design
- Contract Management (specifically Preparation of Program of Work and Project Budget) and Contract-related Claims
- Project Monitoring and Documentation

5. LGU Capacity Development Strategy

Capacity Development Strategy for the Local Government Units (LGUs) are presented in **Annex E**.

The target personnel to be capacitated under the Devolution Transition Plan shall come from different relevant units and departments of the Provincial, City, and Municipal governments. Responsible offices are the Regional and District Engineering Offices of the DPWH, and other possible resource persons from partner agencies such as DILG, DOLE, DOT, DTI, and DOTr.

The modes of technical assistance are identified as follows:

1. Identification of participants from LGUs under their respective DPWH ROs/DEOs
2. Conduct of seminar/workshop/training on the different activities of the project development cycle
3. Initial and regular assessment of technical competence of LGUs
4. Publication/dissemination of DPWH Standard Specifications (4 volumes)
5. Provision of published manuals and applicable references and equipment.

6. Performance Monitoring and Assessment Framework

As indicated in **Annex F**, annual monitoring of different performance indicators shall be done by the appropriate DPWH offices in coordination with the DILG.

Specific NGA Monitoring and Performance Evaluation Strategies include the following:

- Development of Design Management and Monitoring Tools for the devolved functions
- Establishing Quality Analysis (QA) Rating Procedure
- Integrate M&E System/Performance Indicators in established incentive schemes (e.g. SGLG)
- Create a Monitoring and Evaluation Team in DPWH Central and Field Offices to strengthen direct coordination on the monitoring of LGU performance

7. Organizational Effectiveness Proposal (OEP)

The DPWH DTP does not present any modifications in the organizational structure of the DPWH offices, as no office/unit shall be abolished or deactivated, merged or consolidated, as a result of the devolution process.

We are sincerely grateful to the Department of Budget and Management for its valuable guidance and assistance to the DPWH, particularly on the preparation of this Devolution Transition Plan. We look forward to the approval of the Plan and to the subsequent collaborations and activities relative thereto.

Very truly yours,

ROGER G. MERCADO
Acting Secretary

Department of Public Works and Highways
Office of the Secretary
WIN1Q46173

Encl.: 1) Annexes A-F [DTP Matrices]
2) DPWH Organizational Structure, per D.O. 17, s. 2020
3) DBM letter to DPWH dated June 16, 2021

4.1.2 MJSC/PVG/CALJr/MCE

10341

097.12 DPWH
08·11·2021

Republic of the Philippines
DEPARTMENT OF PUBLIC WORKS AND HIGHWAYS
OFFICE OF THE SECRETARY
Manila

AUG 11 2021

SPECIAL ORDER)
)
NO. 192)
Series of 2021)

08/11/2021

SUBJECT: CREATION OF A TECHNICAL WORKING GROUP (TWG) AND ITS SECRETARIAT FOR THE PREPARATION OF THE DPWH DEVOLUTION TRANSITION PLAN (DTP)

In compliance with Executive Order (E.O.) No. 138 series of 2021 and its Implementing Rules and Regulations (IRR) mandating the "*full devolution of certain functions of the executive branch to local governments, creation of a committee on devolution, and for other purposes*", a Technical Working Group (TWG) composed of the following is hereby created:

Undersecretary for Information Management, Planning and PPP Services	-	Chairperson and DPWH Primary Focal Person
Assistant Secretary for Legal Services and Special Concerns	-	Vice Chairperson
Assistant Secretary for Regional Operations in Visayas, NCR, and Region IV-B	-	Co-Vice Chairperson
Director, Bureau of Construction	-	Member
Director, Bureau of Design	-	Member
Director, Bureau of Quality and Safety	-	Member
Director, Human Resource and Administrative Service	-	Member
Director, Procurement Service	-	Member
Director, Stakeholders Relations Service	-	Member

The TWG will be headed by the Undersecretary for Information Management, Planning and PPP Services who will also act as the DPWH Focal Person, primarily in charge in the coordination and consultation with other agencies and Local Government Units (LGUs) to ensure consistency among the Department, other agencies and local government's transition plans. The chairperson will also participate in the information drive and ensure the integration of the Overall Committee on Devolution (ComDev) key messaging and communications plan to the Department's communication efforts.

The TWG is designated to facilitate the preparation of the DPWH Devolution Transition Plan (DTP) pursuant to E.O. No. 138 which directs the full devolution of certain functions of National Government Agencies to the Local Government Units starting FY 2022, in keeping with the provisions of Republic Act No. 7160 or the "Local Government Code of 1991".

The members of the TWG shall provide all possible administrative and technical guidance as well as access to relevant resources, data, and/or information to ensure the efficient and timely preparation of the agency's DTP. The TWG shall closely collaborate and convene on a regularly scheduled basis during the course of the DTP preparation. The specific responsibilities of each TWG member are defined in Annex A of this Special Order.

The TWG may also engage other DPWH Official or staff as necessary, to assist and/or provide input in the preparation of the said plan to ensure timely submission of the same.

Further, in compliance to Section 9 of the IRR of E.O. 138 series of 2021, all Regional Directors shall hereby represent the DPWH in the creation of the Regional Sub-Committees. As such, the designated Regional PGS Coordinators, as established in Special Order 103 series of 2020, unless otherwise superseded, shall act as Secretariat to the Regional Director in any DTP undertakings.

Furthermore, a Secretariat is hereby created to provide assistance in the arrangement and process of future meetings and workshops, through communication and correspondence. The Secretariat will also assist the TWG in the documentation of the discussion and agreements and in the preparation of the necessary reports and plans. The Secretariat shall be composed of the following:

Head:	Director Constante A. Llanes, Jr.	Planning Service
Members:	Engr. Pelita V. Galvez	Public-Private Partnership Service
	Engr. Nenita R. Jimenez	Planning Service
	Engr. Mary Jane S. Castillo	Planning Service
	Engr. Jay M. Atento	Planning Service
	Engr. Jumar G. Tablando	Corporate Planning and Management Division, OSEC
	Mr. Ricardo P. Estrera	Human Resource and Administrative Service

This Order shall take effect immediately.

MARK A. VILLAR
Secretary

Encl: Annex A: Specific Responsibilities of the DPWH-DTP TWG and Secretariat

3.1 PVG/MCEC

Department of Public Works and Highways
Office of the Secretary
WIN1H01979

ANNEX A

Specific Responsibilities of the DPWH-DTP TWG and Secretariat

Member	Responsibilities
Chairperson and DPWH Primary Focal Person	a. Represent the DPWH in all undertakings that may be instructed by the Committee on Devolution (ComDev) as espoused in section 6 of the IRR of E.O. 138 series of 2021; b. Oversee and monitor the implementation of DPWH-DTP consistent with E.O. 138 series of 2021; c. Resolve issues and concerns that may arise in the implementation of E.O. 138 series of 2021 and its IRR; d. Lead in the development of a strong communications plan and pursue strategies to effectively inform the Department, as well as other stakeholders, on the delineation of the functions between the DPWH and the LGUs, and their respective accountabilities;
Vice Chairperson and Co-Vice Chairperson	a. Assist the Chairperson and DPWH Primary Focal Person in the fulfillment of the Technical Working Groups (TWG)s deliverables b. Evaluate the status and monitor the implementation of the DPWH-DTP and ensure compliance of the TWG members in the agreed upon deliverables and timelines; c. Provide administrative, legal and technical recommendations to the Chairperson on issues and concerns that need to be resolved; and d. Perform other functions as may be necessary in the efficient and effective implementation of the DPWH-DTP.
Director, Bureau of Construction	a. Determine the issues and concerns, challenges, and information gaps pertaining to construction, and elevate to the DPWH-DTP TWG together with their recommendations, those that require action at the Department level; b. Identify and establish inventory of standards for the delivery of devolved services pertaining to the creation of Program of Work (POW), Detailed Estimates, Contract Management and Project Monitoring, and quality of the services to be delivered; c. Craft strategy for and phasing of Capacity Development Requirements pertaining to construction processes that may be involved in the DPWH-DTP; and d. Perform other functions as may be necessary in the efficient and effective implementation of the DPWH-DTP.

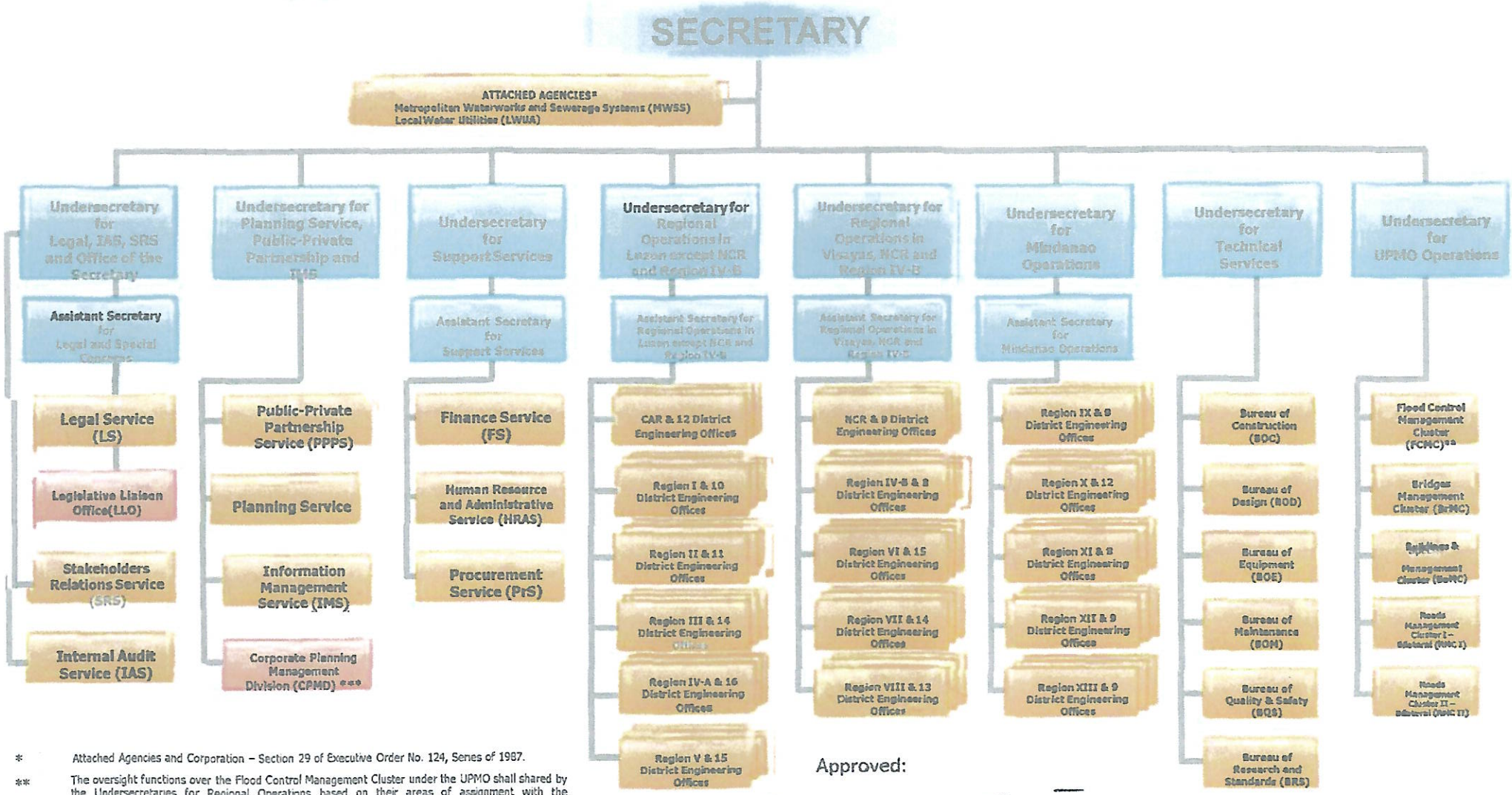
Director, Bureau of Design	a. Determine the issues and concerns, challenges, and information gaps pertaining to design, and elevate to the DPWH-DTP TWG together with their recommendations, those that require action at the Department level; b. Identify and establish inventory of standards for the delivery of devolved services pertaining to the creation of design standards and plan preparation, and quality of the services to be delivered; c. Craft strategy for and phasing of Capacity Development Requirements pertaining to design processes that may be involved in the DPWH-DTP; and d. Perform other functions as may be necessary in the efficient and effective implementation of the DPWH-DTP.
Director, Bureau of Quality and Safety	a. Determine the issues and concerns, challenges, and information gaps pertaining to quality and safety, and elevate to the DPWH-DTP TWG together with their recommendations, those that require action at the Department level; b. Identify and establish inventory of standards for the delivery of devolved services pertaining to quality and safety of the services to be delivered; c. Craft strategy for and phasing of Capacity Development Requirements pertaining to quality assurance and construction safety processes that may be involved in the DPWH-DTP; and d. Perform other functions as may be necessary in the efficient and effective implementation of the DPWH-DTP.
Director, Human Resource and Administrative Service	a. Consolidate and harmonize the Capacity Development Requirements of the DPWH-DTP and implement the training deliverables on schedule; b. Establish pre- and post- training evaluation mechanisms and minimum competency requirements to determine readiness of the Regional Offices and DEOs to capacitate LGUs; c. Prepare and implement capacity building program for the Regional Offices and DEOs particularly on areas identified in the training need assessment to ensure their readiness to capacitate LGUs; d. Perform other functions as may be necessary in the efficient and effective implementation of the DPWH-DTP.
Director, Procurement Service	a. Determine the issues and concerns, challenges, and information gaps pertaining to public procurement, and elevate to the DPWH-DTP TWG together with their recommendations, those that require action at the Department level; b. Identify and establish inventory of standards for the delivery of devolved services pertaining to public procurement; c. Craft strategy for and phasing of Capacity Development Requirements pertaining to procurement guidelines that may be involved in the DPWH-DTP; and

	d. Perform other functions as may be necessary in the efficient and effective implementation of the DPWH-DTP.
Director, Planning Service	a. Determine the issues and concerns, challenges, and information gaps pertaining to planning process, and elevate to the DPWH-DTP TWG together with their recommendations, those that require action at the Department level; b. Identify and establish inventory of standards for the delivery of devolved services pertaining to planning process; c. Craft strategy for and phasing of Capacity Development Requirements pertaining to planning process that may be involved in the DPWH-DTP; and d. Perform other functions as may be necessary in the efficient and effective implementation of the DPWH-DTP.
Director, Stakeholders Relations Service	a. Coordinate with the DPWH-DTP Chairperson on the effective dissemination of the IEC materials to the target audience; b. Ensure that the DPWH-DTP communications strategy is aligned with the national communications strategy; c. Craft strategy for and phasing of Capacity Development Requirements on handling complaints and feedbacks through Citizen/Client Satisfaction Surveys that may be involved in the DPWH-DTP; and d. Perform other functions as may be necessary in the efficient and effective implementation of the DPWH-DTP.
Secretariat	a. Monitor and consolidate the reports on the activities and accomplishments of the DPWH-DTP TWG; b. Maintain the documents and records of the DPWH-DTP, furnish copies thereof to the TWG members in a timely manner, to serve as guide in decision making; and c. Perform such other tasks as may be directed by the DPWH-DTP Chairperson.

Department of Public Works and Highways

Organizational Chart

Per Department Order No. 108, s. 2020, dated November 11, 2020



* Attached Agencies and Corporation – Section 29 of Executive Order No. 124, Series of 1987.

** The oversight functions over the Flood Control Management Cluster under the UPMO shall shared by the Undersecretaries for Regional Operations based on their areas of assignment with the Undersecretary for UPMO Operations as defined in D.O. 87, S. 2016.

*** Department Order No. 141, S. 2017 Reconstitution of the Performance Governance System Committee. *"The Corporate Planning and Management Division (CPMD), under the Office of the Secretary shall be responsible for corporate/strategic planning is hereby placed under the direct supervision and control of the Chairperson of the PGS Committee."*

Approved:

MARK A. VILLAR
Secretary

Department of Public Works and Highways
Office of the Secretary

WIN1L12325

MALACAÑAN PALACE
MANILA

BY THE PRESIDENT OF THE PHILIPPINES

EXECUTIVE ORDER NO. 138

FULL DEVOLUTION OF CERTAIN FUNCTIONS OF THE EXECUTIVE BRANCH TO LOCAL GOVERNMENTS, CREATION OF A COMMITTEE ON DEVOLUTION, AND FOR OTHER PURPOSES

WHEREAS, Section 6, Article X of the Constitution provides that local government units (LGUs) shall have a just share, as determined by law, in the national taxes which shall be automatically released to them;

WHEREAS, in *Mandanas, et al. v. Executive Secretary, et al. (G.R. Nos. 199802 and 208488) ("Mandanas")*, the Supreme Court held that all collections of national taxes, except those accruing to special purpose funds and special allotments for the utilization and development of the national wealth, should be included in the computation of the base of the just share of LGUs;

WHEREAS, considering the prospective character of the *Mandanas* ruling, and in keeping with Section 284 of Republic Act (RA) No. 7160 or the "Local Government Code of 1991," which states that the share of LGUs in national taxes is based on the collections in the third year preceding the current fiscal year, the adjusted national tax allocations of LGUs shall only start in Fiscal Year (FY) 2022;

WHEREAS, given the revenue collections of the National Government in FY 2019, the total shares of the LGUs from the national taxes is expected to significantly increase starting FY 2022 in line with the implementation of the *Mandanas* ruling;

WHEREAS, the substantial increase in the shares of the LGUs from the national taxes will empower the LGUs in providing basic services and facilities to their constituents, and aid them in the effective discharge of other duties and functions devolved to them under Section 17 of RA No. 7160;

WHEREAS, Section 3 of RA No. 7160 provides the operative principles of decentralization that shall guide the formulation of policies and measures on local autonomy;

WHEREAS, Section 17(f) of RA No. 7160 provides that the National Government or the next higher level of LGU may provide or augment the basic services and facilities assigned to a lower level of LGU when such services or facilities are not made available or, if made available, are inadequate to meet the requirements of its inhabitants;

WHEREAS, under Section 24(a), Rule V of the Implementing Rules and Regulations of RA No. 7160, the provision for the delivery of basic services and facilities shall be devolved from the National Government to provinces, cities, municipalities and barangays so that each LGU shall be responsible for a minimum set of services and facilities in accordance with established national policies, guidelines and standards;

WHEREAS, with the full devolution of the provision of basic services and facilities to the LGUs, national government agencies can assume more strategic and steering functions to address persistent development issues;

WHEREAS, Section 83 of the General Provisions of RA No. 11518 or the "General Appropriations Act of Fiscal Year 2021," directs heads of departments, bureaus, offices and instrumentalities under the Executive Branch to: (i) conduct a comprehensive review of their respective mandates, missions, objectives and functions, systems and procedures, and programs, activities and projects; and (ii) identify areas where improvements are necessary and more resources need to be rechanneled;

WHEREAS, Section 17, Article VII of the Constitution provides that the President shall have control of all executive departments, bureaus and offices, and that he shall ensure the faithful execution of laws; and

WHEREAS, Section 4, Article X of the Constitution provides that the President shall exercise general supervision over local governments;

NOW, THEREFORE, I, RODRIGO ROA DUTERTE, President of the Republic of the Philippines, by virtue of the powers vested in me by the Constitution and existing laws, do hereby order:

Section 1. Policy. The National Government (NG) is fully committed to the policy of decentralization enshrined in the Constitution and relevant laws which are aimed at (i) developing capabilities of local governments to deliver basic social services and critical facilities to their constituents, increase productivity and employment, and promote local economic growth; and (ii) ensuring accountability, competence, professionalism and transparency of local leaders through the development of institutional systems that uphold good governance and strengthen their capacities for managing public resources.

Section 2. Guiding Principles. Consistent with Sections 3 and 17 of RA No. 7160, all department secretaries and agency heads concerned shall, in pursuit of the full devolution of functions to the LGUs, conduct a functional and organizational review of their respective mandates guided by the following principles:

a. The role of the NG is to set the national policy, development strategy, and service delivery standards, and to assist, oversee and supervise the LGUs, complementary to the stronger implementing role that the LGUs shall assume by reason of devolution;

b. The devolution of the provision of basic services and facilities to the LGUs and the determination of the functional assignments between and among the different levels of government shall be guided by the following:

    i. Public services with little or no benefit spillover are best administered and financed by lower level governments, while public services with significant inter-jurisdictional externalities or benefit and cost spillovers are best assigned to higher levels of government;

ii. The provision of public goods and services that involve economies of scale is best assigned to higher levels of government; and

iii. Functions related to the redistributive role of government should be best assigned to the NG;

c. The NG, in close collaboration with the LGUs through their respective Leagues, shall formulate and pursue an institutional development program to support the LGUs in order to strengthen their capacities and capabilities to fully assume the devolved functions based on RA No. 7160 and other relevant laws; and

d. Except as otherwise provided in this Order, any ambiguity as to the interpretation of a power granted to an LGU shall be resolved and interpreted in favor of devolution.

Section 3. Coverage. This Order shall cover all LGUs, departments, agencies and instrumentalities of the Executive Branch whose functions are in line with the devolved functions of the LGUs under Section 17 of RA No. 7160, and other pertinent laws.

Section 4. Functions, Services and Facilities for Full Devolution. The functions, services and facilities which shall be fully devolved from the NG to the LGUs no later than the end of FY 2024, shall include those indicated under Section 17 of RA No. 7160 and other existing laws which subsequently devolved functions of the NG to LGUs.

Consistent with Section 17(e) of RA No. 7160, and for purposes of this Order, devolution shall pertain to the act by which the NG, as may be allowed by existing laws, confers power and authority to the various LGUs to perform specific functions and responsibilities.

Except those functions that shall continue to be shared with the NG pursuant to Section 2 of this Order, local governments shall be primarily and ultimately responsible and accountable for the provision of all basic services and facilities fully devolved to them in accordance with the standards for service delivery to be prescribed by the NG.

In accordance with Section 17(g) of RA No. 7160, the basic services and facilities fully devolved shall be funded from the share of the LGUs in the proceeds of national taxes and other local revenues. Local chief executives shall ensure that any fund or resource available for the use of their respective LGUs shall be first allocated for the provision of basic services or facilities devolved before applying the same for other purposes, in accordance with relevant laws and budgeting and auditing laws, rules and regulations.

Section 5. Devolution Transition Plans. The national government agencies (NGAs) concerned and all LGUs shall prepare their respective devolution transition plans (DTPs) which conform to the guidelines to be jointly issued by the Department of Budget and Management (DBM) and the Department of the Interior and Local Government (DILG).

There shall be only one (1) DTP for each department, which shall already cover the agencies and government-owned or -controlled corporations (GOCCs) under the control or supervision or attached to such department. The department secretaries shall lead and oversee the preparation and implementation of their DTPs. Agencies and instrumentalities not under the control or supervision or attached to a department shall prepare and implement their own DTPs in consultation and coordination with the DBM and DILG.

The NGA DTPs shall identify and clarify the functions and services devolved to the LGUs, by level of LGU, based on RA No. 7160 and other relevant laws, and the strategy for and phasing of devolution to the LGUs. They shall also include the definition of standards for the delivery of devolved services; strategy for the capacity development of the LGUs; framework for

monitoring and performance assessment of the LGUs; and an organizational effectiveness proposal to strengthen the department/agency in assuming "steering functions" as part of the devolution efforts.

The NGAs concerned may consult and collaborate with the DILG, National Economic and Development Authority (NEDA), Department of Finance (DOF), Civil Service Commission (CSC), and the Development Academy of the Philippines (DAP), and other resource institutions for technical assistance in the preparation of their respective DTPs.

The DTP shall be submitted by the NGAs concerned to the DBM within one hundred twenty (120) days from the effectivity date of this Order, for evaluation and approval.

Section 6. Committee on Devolution. A Committee on Devolution (ComDev) is hereby created to be composed of the following:

Chairperson	:	Secretary, DBM
Co-Chairperson	:	Secretary, DILG
Members	:	Socioeconomic Planning Secretary, NEDA; Secretary, DOF; Executive Secretary; and Presidents of the Leagues of Provinces, Cities and Municipalities of the Philippines, the *Liga ng mga Barangay ng Pilipinas*, and the Union of Local Authorities of the Philippines.

The ComDev Chairperson, Co-Chairperson and Members from the government sector shall designate, within fifteen (15) days from the effectivity date of this Order, a senior official within their respective departments, with a rank not lower than an Undersecretary or its equivalent, to act as their permanent representative in the ComDev and who shall be responsible for overseeing their respective agency's overall efforts on the implementation of this Order. Alternates from the various leagues shall be endorsed by their organizational heads.

The DBM shall provide secretariat services to the ComDev.

Section 7. Functions of the ComDev. The ComDev shall perform the following functions:

a. Oversee and monitor the implementation of administrative and fiscal decentralization goals of this Order consistent with RA No. 7160, as amended;

b. Evaluate the status and monitor the implementation of the DTPs of NGAs and LGUs, and ensure compliance of NG officials or employees and local chief executives or personnel, and initiate appropriate action(s) as may be warranted;

c. Resolve issues and concerns that may arise in the implementation of this Order, without prejudice to the respective mandates of its member-agencies in individually resolving the same;

d. Ensure the elimination of any regulatory or fiscal controls on the automatic release of LGU shares on national taxes, in accordance with Sections 286 and 293 of RA No. 7160, unless such restrictions are warranted under relevant laws;

e. Adopt mechanisms to ensure continuous delivery of public services by the NGAs and the LGUs during the transition period to full devolution;

f. Develop a strong communications plan and pursue strategies to effectively inform the public, as well as other stakeholders, on the delineation of the functions between the NGAs and the LGUs, and their respective accountabilities. For this purpose, the ComDev may tap the Presidential Communications Operations Office and its attached agencies and offices, call upon all NGAs, both the oversight and the affected agencies, to designate focal officials and personnel who shall participate in this information drive, and ensure the integration of the ComDev's key messaging and communications plan to their respective agencies' communication efforts;

g. Issue rules and regulations for the effective implementation of this Order within thirty (30) days from its effectivity, and thereafter, such other supplemental guidelines as may be appropriate;

h. Submit to the Office of the President an annual report on the implementation of this Order. The report shall include the status of implementation of the DTPs, as well as the recommendations of the ComDev based on the annual assessments thereof; and

i. Call on any relevant department, agency or office of the Executive Branch for the fulfillment of its functions and the accomplishments of the objectives of this Order, and ensure convergence of all government efforts on the devolution program.

Section 8. Growth Equity Fund. A Growth Equity Fund (GEF) shall be proposed by the ComDev to Congress to address issues on marginalization, unequal development, high poverty incidence and disparities in the net fiscal capacities of LGUs. The amount constituting the GEF shall be included by the DBM in the National Expenditure Program starting FY 2022 and thereafter, to cover the funding requirements of programs, projects and activities of poor, disadvantaged and lagging LGUs to gradually enable the full and efficient implementation of the functions and services devolved to them.

The GEF shall be released to the LGUs in accordance with the implementing rules and regulations to be prescribed by the Development Budget Coordination Committee. It shall be subject to the mechanisms and guidelines for an equitable, performance-based, and time-bound allocation and distribution of the fund to the LGUs.

Section 9. Capacity Development. The DILG, through its Local Government Academy (LGA), shall oversee the provision of capacity development interventions for local governments, and shall develop the appropriate mechanisms to ensure efficient utilization of government resources on this effort. The LGA shall harmonize all capacity development interventions by the DBM, NEDA, DOF, other NGAs, DAP and third party service providers for the LGUs. It shall optimize the potential of the Local Governance National and Regional Resource Centers as the convergence platform for capacity development.

Further, the DILG, DBM and the Bureau of Local Government Finance of the DOF shall include public financial management processes, such as local planning, investment programming, resource mobilization and budgeting, in the capacity development of the LGUs to ensure that the allocation of the revenue allotment for basic services and facilities is in accordance with Section 17 of RA No. 7160 and other relevant laws.

Moreover, the DILG shall develop other capacity development strategies, facilitate institutionalization of performance standards, and develop performance incentive mechanisms under the Seal of Good Local Governance to promote excellence in local governance.

To ensure continuity in the efficient and effective delivery of services, capacity development interventions shall, as far as practicable, be offered preferably to career or permanent local government personnel as a means of institutional strengthening.

Section 10. Role of LGUs. Consistent with Section 5 of this Order, all LGUs shall likewise prepare their DTPs in close coordination with the NGAs concerned, especially with regard to devolved functions and services critical to them. The DTPs of LGUs shall be used as a guide in the monitoring and performance assessment of the LGUs by the DBM, DILG and NGAs concerned.

In view of the devolution of certain functions from the NGAs, the LGUs shall also formulate their respective Capacity Development Agenda based on the assessment framework and guidelines to be issued by the DILG-LGA. The capacity development agenda shall be guided by, among others, the strategy for capacity development of the LGUs as contained in the NGA DTPs, local development thrusts, and performance goals and objectives.

In accordance with Section 8(f) of this Order, all LGUs are highly encouraged to formulate their respective communications plans and strategies which are aligned and complementary to the communications plan formulated and approved by the ComDev.

Local programs and policies shall be integrated and coordinated towards a common national goal and shall abide by the policies, standards and strategies which the NG may establish pursuant to the Guiding Principles in Section 2 of this Order.

Section 11. Strengthening Planning, Investment Programming and Budgeting Linkage and Monitoring and Evaluation (M&E) Systems. The vertical and horizontal linkages across different levels of government in development planning, investment programming and budgeting shall be strengthened to align NG, regional and local priorities. The Regional Development Councils shall set the strategic direction for the faster development of the regions, especially in the lagging areas, and facilitate the alignment of the local development and the land use plans with the goals, objectives and targets in the Updated Philippine Development Plan and the respective regional development plans.

The regional development investment programs shall contain the proposed intra- and inter-regional programs, projects and activities (PPAs) of regional line agencies to be funded by the NG, while the provincial/local development investment program (P/LDIP) of provinces, cities and municipalities shall contain their prioritized list of PPAs for funding by the LGUs. The annual investment program of the LGUs to be funded through local funds, borrowings and public-private partnerships shall be sourced from their respective P/LDIPs.

Horizontal linkages shall be strengthened through the improvement in the coordination, synchronization, and joint execution of programs and projects between and among the LGUs. In line with this, provincial governments are reminded of their oversight and coordination functions in the provision of services and implementation of projects within their provinces that cut across city/municipal borders.

Relative to this, the DILG, DOF, NEDA and DBM shall update existing circulars, and recalibrate the synchronized local and regional planning and budgeting calendars accordingly.

Further, results-based M&E systems shall be in place in the DILG, DBM, DOF and other NGAs to ensure the purposive conduct of evaluations by the agencies concerned, and to guarantee that the LGUs have assumed the devolved functions and services effectively in support of good governance, transparency, accountability and evidence-based decision making.

Section 12. Personnel Options. To the extent authorized by civil service laws, rules and regulations, personnel hired on a permanent basis, who may be affected by the devolution, shall have the option to:

a. Apply for transfer to other units/offices within the department/agency/GOCC concerned without reduction in pay;

b. Apply for transfer to other departments/agencies/GOCCs in the Executive Branch without reduction in pay; or

c. Avail the retirement benefits and separation incentives as provided under Section 13 of this Order and, subject to the discretion of the LGUs, apply to vacant positions therein, provided that their reemployment shall be considered as new entry to the civil service and that they shall be subject to the compensation system of the LGU concerned.

Affected employees occupying medical/allied-medical items may apply for transfer to a Department of Health-supervised hospital of their choice.

The DBM and DILG, in coordination with the CSC and with prior consultation with the LGUs through their respective Leagues, shall develop and issue the guidelines, as may be necessary, to ensure the fair, orderly, and transparent implementation of this provision; provided, that the NGAs are authorized to institute their respective internal operationalization guidelines, subject to existing CSC and DBM rules and regulations.

Section 13. Retirement/Separation Benefits. Affected personnel with permanent appointments who would opt to retire or separate from the service shall be given the option to avail the retirement benefits under existing laws, if qualified.

In addition to said retirement benefits, the affected personnel who would opt to retire or separate from the service shall be entitled to the following separation incentives:

Length of Service	Rate
Less than eleven (11) years of service	½ of the actual monthly basic salary for every year of government service
Eleven (11) to less than twenty-one (21) years of service	¾ of the actual monthly basic salary for every year of government service, computed starting from the 1st year
Twenty-one (21) to less than thirty-one (31) years of service	actual monthly basic salary for every year of government service, computed starting from the 1st year
Thirty-one (31) years of service and above	1 ¼ of the actual monthly basic salary for every year of government service, computed starting from the 1st year

The actual monthly basic salary shall refer to the salary of the affected personnel as of the date of approval of the department/agency's revised organizational structure and staffing pattern by the DBM.

A minimum of five (5) years of government service is required in order for affected personnel to be entitled to avail of the separation incentives; Provided, that for the purpose of computing the total amount of separation incentives that affected personnel shall receive, only the government service up to the age of fifty-nine (59) and a fraction thereof shall be counted. Government service starting at the age of sixty (60) shall no longer be subject to the separation incentives provided herein; Provided, further, that for the purpose of complying with the required number of years of service under RA No. 8291 or the "The Government Service Insurance System (GSIS) Act of 1997," the portability scheme under RA No. 7699 (Portability Law) may be applied, subject to existing policies and guidelines.

a. Refund of Pag-IBIG contributions, both personal and government shares, of all affected members, pursuant to existing rules and regulations of the Home Development Mutual Fund; and

b. Commutation of unused vacation and sick leave credits of the affected personnel in accordance with existing civil service rules and regulations.

Section 15. Prohibition on the Rehiring of Personnel. Without prejudice to existing laws and regulations, affected personnel with permanent appointments who retired/separated from the service as a result of the devolution efforts shall be prohibited from reemployment in any agency of the Executive Branch, for a period of five (5) years, except as teaching and medical staff in educational institutions and hospitals, respectively.

The reemployment of the retired/separated personnel in the Executive Branch within the prohibited period shall cause the refund of the separation incentives received by subject personnel under Section 13 of this Order, on a pro-rated basis.

It is understood that the prohibition on the reemployment of the affected personnel shall not apply in the other branches of the Government and in the local governments. However, the affected personnel who will opt to be reemployed in the local governments shall be subject to the prevailing compensation system in the LGU concerned.

The engagement of consultancy services of government personnel who retired or separated from the service as a result of the devolution efforts shall be governed by Section 7 of RA No. 6713 or the "Code of Conduct and Ethical Standards for Public Officials and Employees," and other pertinent laws, rules and regulations.

Section 16. Funding. The amount necessary for the first year of implementation of this Order shall be sourced from existing appropriations in the case of NGAs, and the respective corporate funds of GOCCs, subject to availability thereof, and existing budgeting, accounting, and auditing rules and regulations. The amounts necessary for subsequent years shall be included in the budget proposals of the agencies concerned.

The funds for the separation incentive of the affected personnel in regular government agencies shall be provided by the NG, subject to existing and applicable budgeting, accounting, and auditing rules and regulations. The separation incentives for the affected personnel of GOCCs shall be sourced from their respective corporate funds. In case of deficiency of funds of GOCCs not exempted from the Salary Standardization Law, the NG may provide assistance in the payment of the separation incentives.

Section 17. Non-interruption of Government Service. This Order, or any guidelines, rules or regulations issued in pursuance thereof, or any initiative towards the transition of devolved functions from the NGAs to the LGUs, shall not operate to suspend or exempt any government office or personnel from compliance with the provisions of RA No. 11032 or the "Ease of Doing Business and Efficient Government Service Delivery Act of 2018."

REPUBLIC OF THE PHILIPPINES
DEPARTMENT OF BUDGET AND MANAGEMENT
GENERAL SOLANO STREET, SAN MIGUEL, MANILA

JUN 16 2021

DPWH - OSEC
RECEIVED
JUN 22 2021
By: _____ Time: 12:27
Control No.: 21-7420
IDTS No.: 67813

SECRETARY MARK A. VILLAR
Department of Public Works and Highways (DPWH)
Bonifacio Drive, Port Area, Manila

Dear **Secretary Villar:**

This pertains to the DPWH position on the proposed discontinuance of certain programs and projects, as indicated in its accomplished devolution transition plan (DTP) Annex A[1], in line with the government's current full devolution effort in view of the implementation of the Supreme Court (SC) ruling on the Mandanas-Garcia cases.

Particularly, the DPWH is proposing the following in its DTP:

1. Retention of inter-agency convergence programs with the DPWH;
2. Retention of the Local Infrastructure Program (LIP)/Various Infrastructure Including Local Program (VIILP) with the DPWH; and
3. Creation of the Basic Infrastructure Program (BIP) and Sustainable Infrastructure Projects Alleviating Gaps (SIPAG).

DBM Comments/Remarks

A. On the General Framework on Devolution

As stated under Section 1, Chapter 1, Title V, Book IV of ***Executive Order (EO) No. 292, s. 1987 (Administrative Code of 1987)***, the DPWH is mandated to serve as the State's engineering and construction arm responsible for the planning, design, construction and maintenance of infrastructure facilities, especially **national highways**, flood control, water resources systems, and other public works, in accordance with national development objectives.

However, subject section of EO No. 292 likewise provides that the exercise of said responsibility **shall be decentralized to the fullest extent feasible**.

[1] Matrix on the Unbundling of Programs, Projects and Activities (PPAs) of the National Government Agencies (NGAs) to Different Levels of Government

Section 3 of ***Republic Act No. 7160 [Local Government Code (LGC) of 1991]*** states that, "The formulation and implementation of policies and measures on local autonomy shall be guided by the following operative principles: xxx (g) The capabilities of local government units, especially the municipalities and barangays, **shall be enhanced by providing them with opportunities to participate actively in the implementation of national programs and projects.**"

Per Section 17 of the LGC, the term "devolution" refers to the act by which the NG confers power and authority upon various LGUs to perform specific functions and responsibilities.

Specifically, under Section 17(b) of the LGC, the following basic infrastructure facilities **are devolved to the LGUs**:

For a province	Provincial roads and bridges, inter-municipal waterworks, drainage and sewerage, flood control, reclamation projects
For a municipality	Municipal roads and bridges, small water impounding projects and other similar projects, rainwater collectors and water supply systems, seawalls, dikes, drainage and sewerage, flood control
For a city	*All services and facilities of the province and municipality*
For a barangay	• Maintenance of barangay roads and bridges and water supply systems • Infrastructure facilities such as multi-purpose hall, multi-purpose pavement, plaza, sports center, and other similar facilities

For this reason, local roads have been differentiated from national roads, a differentiation which the DPWH has helped determine and guide.

Moreover, Section 17(a) of the Code provides that the LGUs shall endeavor to be self-reliant and continue exercising the powers and discharging the duties and functions currently vested upon them. The LGUs **shall also discharge the functions and responsibilities of national government agencies (NGAs) devolved to them** and such other powers/functions/responsibilities as are necessary, appropriate, or incidental to the efficient and effective provision of the basic services and facilities enumerated under the LGC.

Based on the abovementioned provisions, it may be emphasized that the main responsibility of the DPWH as the engineering and construction arm of the government is to plan, design, construct, and maintain **national highways**, flood control and water development systems, and other public works.

Relatedly, decentralizing these responsibilities to the fullest extent feasible and having the LGUs actively execute the implementation of local projects and participate in national projects as much as possible, will **develop their capabilities** in undertaking devolved functions/services. Hence, the proposal for DPWH to continue implementing these local projects will only delay the capacity building of the LGUs. **EO No. 138**[2] dated June 1, 2021 provides a three (3)-year window for the LGUs to fully assume the devolved functions.

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[2] Full Devolution of Certain Functions of the Executive Branch to Local Governments, Creation of a Committee on Devolution, and for Other Purposes

B. On the Convergence Programs

With regard to the convergence programs, it is recognized that said programs are set up to accelerate infrastructure development in priority sectors with significant impact or contribution to the realization of national inclusive growth and development goals, such as on tourism, trade and industry, transportation and logistics, food security, and national security. Said convergence programs are nationwide in scope which cut across several jurisdictions **and primarily involve primary, secondary and tertiary roads,** and requires the participation of relevant parties both from the national and local governments, including the private sector and civil society organizations.

As provided under EO No. 138, and as reiterated in the guidelines on the preparation of the DTPs of the NGAs concerned, one of the guiding principles on the determination of the functional assignments between and among the different levels of government is that public services with significant inter-jurisdictional externalities or benefit and cost spillovers are best assigned to higher levels of government.

Considering the inter-jurisdictional and nationwide impact of the convergence programs, the same could be **more appropriately done by the national government**. This is likewise consistent with existing legal mandates that infrastructure projects of national significance shall be the responsibility of the DPWH.

It may also be noted that during a consultation meeting between the DPWH and the Inter-Agency Technical Working Group on Devolution on 18 February 2021, among the key discussions/agreements was that the convergence programs **will be retained with the NGAs** given the nature of these programs/projects.

Further, while we agree with the need for these convergence projects, they should be **prioritized to yield the most benefits compared to costs**. Hence, the convergence areas must traverse at least two (2) provinces to maximize the convergence. The benefits from facilitating access to economic zones, airports and seaports, and tourism sites within one (1) province will be confined within that province, and hence, should be the responsibility of that province. Thus, the DPWH should provide a list of these convergence roads. Moreover, it is important to clearly define/identify what are the "declared tourist destinations" or "trades/industries/ecozones" to delimit these areas and ensure that these programs will not be used to fund local infrastructure projects that are the responsibility of the LGUs.

Relatedly, as mentioned, national roads are segregated from the local roads. The responsibility of the DPWH as a national agency is to build and maintain the national roads, while the responsibility of the provinces/cities/municipalities is to build and maintain theirs. However, it has been observed that in the past, the LGUs do not follow through with the operation and maintenance (O&M) of these local roads for lack of ownership when an NGA builds them and turns these over to them and for the lack of funds.

Considering the increased resources that will be made available to the LGUs starting FY 2022 with the implementation of the SC ruling, the DPWH should think of ways to **enhance LGU involvement and contribution and ownership** in the implementation of the local projects and convergence programs. The local governments

will now have the financial capacity for O&M of the local roads. Hence, the **continued implementation of the DPWH of projects for LGUs will tend to undermine and erode the ownership of the LGU** to ensure their proper inventory as LGU assets and for maintenance purposes. It is suggested that the focus of the DPWH be in the training of LGUs on O&M rather than on local project execution.

The aforesaid strategies **should be incorporated in the department's DTP**.

C. *On the LIP/VIILP*

With regard to the LIP/VIILP, it is noted that projects under said programs mainly consist of local roads and bridges, water and sanitation facilities, multi-purpose facilities, and other local infrastructure facilities which are **already devolved to the LGUs** per the LGC and other pertinent laws. And, for reasons stated above, should be discontinued soonest.

Additionally, it is to be highlighted that the proposed discontinuance of NG programs/projects under this full devolution effort **will be done in phases**. This is contained in EO No. 138.

Also, under ***National Budget Memorandum No. 138***[3] dated January 6, 2021, the NGAs have been advised to shift from "rowing" to "steering" and focus on development of policy and service delivery standards, provision of technical assistance, and monitoring and performance assessment of LGUs. Specifically, the NGAs are directed to **1)** refrain from including in their budget proposals, funding for devolved local projects for LGUs belonging to the 1st to 4th income classes, and instead include the funding requirement for capacity building of these LGUs to enable them to assume the devolved functions; and **2)** limit their subsidies for local projects to LGUs belonging to the 5th and 6th income classes, the GIDAs, and those with the highest poverty incidences.

Further, the NGAs were reminded to treat LGUs as partners in development and **consider cost-sharing agreements in the implementation of devolved projects**.

Hence, the DPWH could **further break down the projects** under the LIP/VIILP to determine which covers 1st to 4th class LGUs vis-à-vis those for 5th and 6th class LGUs and the GIDAs. The DPWH **could already discontinue the implementation of local projects in the richest and most capable LGUs** (i.e., 1st to 4th class LGUs), and shift its focus on providing technical and financial assistance to the least capable LGUs (i.e., 5th and 6th class LGUs), especially those with the highest poverty incidences and have multiple GIDAs.

For LIP projects that the DPWH deems are not feasible to be fully turned over by FY 2022 to the LGUs belonging to the 1st to 4th income classes, the department should develop a time-bound plan and strategy over the next three (3) years that will address the capacity building and other concerns of these LGUs to enable them to take on such programs/projects at the soonest feasible time. These should **likewise be indicated in the DPWH DTP**.

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[3] National Budget Call for FY 2022

On the benefit spillover of inter-municipal roads, it should be noted that these benefits are confined within the province. Since the **responsibility for provincial roads is assigned to the province**, there is **no benefit spillover** to speak of.

D. On the Proposed BIP and SIPAG

With regard to the proposed creation of the BIP and SIPAG which aims to ensure regional equity, it should be noted that EO No. 138 provides for the establishment of a **Growth Equity Fund,** which is designed to address the basic infrastructure gap in the provinces and municipalities with the highest poverty incidences and with relatively low per capita National Tax Allotment (NTA).

The need for DPWH to augment the provision of local infrastructure and for additional funding from the NG to fund the same through said two (2) new programs is difficult to justify considering the GEF, the ongoing efforts of the government to strengthen its decentralization policy, and given the large increase in NG transfers to LGUs in the form of the NTA from FY 2022 onwards as a result of the SC ruling on the Mandanas-Garcia cases.

Contrary to the DPWH assertion that the NG has lots of funds and the LGUs have little, the story has been reversed because with the pandemic, the 2020 revenues of the NG was lower by P281 billion compared to the collections recorded in 2019, and the continuing community quarantine in the National Capital Region and the nearby growth centers limits the ability of the NG to grow its tax collections.

Meanwhile, the LGUs will be receiving around 38% year-on-year increase in the NTA for FY 2022 due to the impact of the Mandanas-Garcia ruling, compared to the 10% annual historical growth of the Internal Revenue Allotment.

Further, the FY 2022 budget has the smallest fiscal space for Tier 2 compared to past years. Hence, the DPWH should maximize its Tier 2 ceiling for major national projects which are their primordial responsibility rather than the convergence or local projects, which the LGUs can fund.

E. On the Accomplished DTP Annexes

Additionally, with regard to the DPWH DTP **Annex A,** it is noted that the DPWH used the old template. Hence, the DPWH should use the final templates sent to the NGAs concerned, as attached in the DBM letter dated April 5, 2021.

Further, for clarity and better understanding and appreciation of the annexes, especially since these will be shared with the LGUs, particularly Annexes A and C-1, the DPWH should follow the format of Annex A to mark off the level of government per row, to more properly and clearly see the unbundling/disaggregation of the functions and services per level of government.

In particular, it is noted that the construction, upgrading, repair, and rehabilitation of roads, bridges, and road drainage using the Special Road Fund- Motor Vehicle User's Charge (MVUC) Pasig River Convergence Program will be assigned to the NG, provinces,

cities, and municipalities. Hence, the DPWH should indicate under Column [5] the specific functions/services and programs, projects and activities that will be handled by each level of government, for easy reference/information by the readers and evaluation by the DBM.

We hope to have sufficiently addressed your concerns, for consideration in the accomplishment and enhancement of the DPWH DTP, for purposes of the FY 2022 budget preparation and the submission of the DTPs under EO No. 138.

Should you have any concerns, your office may coordinate the same to the DBM through Ms. Mia Andrea O. Soriano of the Office of Undersecretary Laura B. Pascua at msoriano@dbm.gov.ph, or Ms. Andrea Lorraine G. Salvador of the Systems and Productivity Improvement Bureau at alsalvador@dbm.gov.ph.

We hope for your continued support in this undertaking.

Thank you and God bless.

Very truly yours,

WENDEL E. AVISADO
Secretary

ANNEX A

Matrix on the Unbundling of Programs, Projects, and Activities (PPAs) of the National Government Agencies (NGAs) to Different Levels of Government

Department of Public Works and Highways

		Function /Service/Facility/ Program/Project/Activity [1]	Assignment per Level of Government *(NG – National Government; P – Province; C – City; M – Municipality; B – Barangay)* Please tick off/mark appropriate column(s). One per row only. [2]					Appropriation in FY 2021 GAA (in PhP M) [3]	Legal Basis [4]	Decentralization Principle and Other Remarks to Delineate the PPAs to be Assigned to Each Level of LGU [5]
			NG	P	C	M	B			
1.	0.	LOCAL PROGRAM								
1.	1.	Local Infrastructure Program A. Buildings and Other Structures • School Buildings • Multi-Purpose Facilities B. Local Road and Bridges • Local Roads • Local Bridges C. Local Flood Control Structure and Drainage • Drainage/Protection Works		X	X	X	X	118,775.474	Section 1-3, Book IV, Title V, Executive 292 Series of 1987	Per **Section 1, Book IV, Title V, Executive 292 Series of 1987** **Executive Order No. 292 [BOOK IV/Title V/Chapter 1-General Provisions]** SECTION 1. Declaration of Policy.—The State shall maintain an engineering and construction arm and continuously develop its technology, for the purposes of ensuring the safety of all infrastructure facilities and securing for all public works and highways the highest efficiency and the most appropriate quality in construction. The planning, design, construction and maintenance of infrastructure facilities, especially national highways, flood control and water resources development system, and other public works in accordance with national development objectives, shall be the responsibility of such an engineering and construction arm. **However, the exercise of this responsibility shall be decentralized to the fullest extent feasible.**

		• Flood Control Structures/Facilities D. Water management Facilities • Water Supply							Section 17, sub section (a,e,g), RA 7160 " Local Government Code"	**Section 17.** *Basic Services and Facilities.* – (a) Local government units shall endeavor to be self-reliant and shall continue exercising the powers and discharging the duties and functions currently vested upon them. They shall also discharge the functions and responsibilities of national agencies and offices devolved to them pursuant to this Code. Local government units shall likewise exercise such other powers and discharge such other functions and responsibilities as are necessary, appropriate, or incidental to efficient and effective provisions of the basic services and facilities enumerated herein. (e) National agencies or offices concerned shall devolve to local government units the responsibility for the provision of basic services and facilities enumerated in this section within six (6) months after the effectivity of this Code. As used in this Code, the term "devolution" refers to the act by which the National Government confers power and authority upon the various local government units to perform specific functions and responsibilities. (g) The basic services and facilities hereinabove enumerated shall be funded from the share of local government units in the proceeds of national taxes and other local revenues and funding support from the National Government, its instrumentalities and government-owned or -controlled corporations which are tasked by law to establish and maintain such services or facilities. Any fund or resource available for the use of local government units shall be firrst allocated for the provision of basic services or facilities enumerated in subsection (b) hereof before applying the same for other purposes, unless otherwise provided in this Code.
1.	2.	Construction / Repair / Rehabilitation / Improvement of Various Infrastructure including Local Projects E. Buildings and Other Structures • School Buildings • Multi-Purpose Facilities F. Local Road and Bridges • Local Roads • Local Bridges G. Local Flood Control Structure and Drainage • Drainage/Protection Works		X	X	X	X	148,743.713	Section 1 Book IV, Title V, Executive 292 Series of 1987	Per **Section 1, Book IV, Title V, Executive 292 Series of 1987** **Executive Order No. 292 [BOOK IV/Title V/Chapter 1-General Provisions]** SECTION 1. Declaration of Policy.—The State shall maintain an engineering and construction arm and continuously develop its technology, for the purposes of ensuring the safety of all infrastructure facilities and securing for all public works and highways the highest efficiency and the most appropriate quality in construction. The planning, design, construction and maintenance of infrastructure facilities, especially national highways, flood control and water resources development system, and other public works in accordance with national development objectives, shall be the responsibility of such an engineering and construction arm. **However, the exercise of this responsibility shall be decentralized to the fullest extent feasible.** **Section 17.** *Basic Services and Facilities.* –

		• Flood Control Structures/Facilities H. Water management Facilities • Water Supply							Section 17, sub section (a), RA 7160 " Local Government Code"	(b) Local government units shall endeavor to be self-reliant and shall continue exercising the powers and discharging the duties and functions currently vested upon them. They shall also discharge the functions and responsibilities of national agencies and offices devolved to them pursuant to this Code. Local government units shall likewise exercise such other powers and discharge such other functions and responsibilities as are necessary, appropriate, or incidental to efficient and effective provisions of the basic services and facilities enumerated herein. (e) National agencies or offices concerned shall devolve to local government units the responsibility for the provision of basic services and facilities enumerated in this section within six (6) months after the effectivity of this Code. As used in this Code, the term "devolution" refers to the act by which the National Government confers power and authority upon the various local government units to perform specific functions and responsibilities. (g) The basic services and facilities hereinabove enumerated shall be funded from the share of local government units in the proceeds of national taxes and other local revenues and funding support from the National Government, its instrumentalities and government-owned or -controlled corporations which are tasked by law to establish and maintain such services or facilities. Any fund or resource available for the use of local government units shall be firrst allocated for the provision of basic services or facilities enumerated in subsection (b) hereof before applying the same for other purposes, unless otherwise provided in this Code.
2.	**0.**	**CONVERGENCE AND SPECIAL SUPPORT PROGRAM**								Transport Policy (NTP) of the Philippines, approved by the NEDA Board in 2017, with Implementing Rules and Regulations **unanimously approved in 2018 by NEDA, DBM, DPWH, DOTR, DA, DICT, DOE, and the Cabinet Secretary** stating the need for **"[Section 8:]** ...establishing a seamless, intermodal, tourism, agro-industry and trade and logistics transport network through the **implementation of Convergence Programs, among others,** to ensure adequate transport infrastructure support, including the efficient and unimpeded flow of people and goods...". These roads include access roads leading to major tourism gateways (airports, seaports, and provincial bus terminals), and access roads that connect Economic Zones, major logistics hubs and manufacturing centers, **under**
2.	1.	Construction/ Improvement of Access Roads leading to Airports (Kalsada Tungo sa Paliparan, Riles at Daungan Program - KATUPARAN)	X					1,655.327	Section 1-3, Book IV, Title V, Executive 292 Series of 1987 Section 17, sub-sections(c) and (f), of RA 7160	

									NEDA Board Resolution No.5 Series of 2017, "National Transport Policy".	**Section 32 of the NTP IRR: Tourism, Trade, and Agro-Industry Convergence Programs.** The Convergence Programs require the participation of all related parties from the local and national government, including the private sector and civil society organizations in order to support the harmonized and overall national economic development. Thus, **devolving these Programs will fragment the infrastructure development process because the LGUs will not have visibility and appreciation of the national development strategy and programs.** It bears stressing that LGUs, considering their mandate, are primarily concerned with local needs. Therefore, it is not remotely possible that the objectives of these Convergence Programs will not be prioritized. The Local Government Code (RA 7160) provides that **"the national government ... may provide or augment the basic services and facilities assigned to a lower level of local government unit** when such services or facilities are not made available or, if made available, are inadequate to meet the requirements of its inhabitants." (Section 17.f) As stated in RA 7160 (Section 17.c.) Notwithstanding the provisions of subsection (b) hereof, public works and infrastructure projects and other facilities, programs and services funded by the national government under the annual General Appropriations Act, other special laws, pertinent executive orders, and those wholly or partially funded from foreign sources, are not covered under this Section, except in those cases where the local government unit concerned is duly designated as the implementing agency for such projects, facilities, programs, and services. DPWH will continue implementing the projects under this Program. However, this will be subject to the new of set criteria to be developed by this Department together with the other NGA's (DOT, DTI, DOTr) for the sub-programs under the Convergence Program in accordance to EO 138. This will include the 3 principles under EO 138, Section 2, i) inter-jurisdictional externalities, ii) economies of scale, and iii) redistributive role of national government. However upon completion the projects, the operation and maintenace will be by the LGU's. At any rate, the LGUs can always utilize their own increased allocation but the national government must continue, and should expand its reach in supporting infrastructure projects that will accelerate national economic development in **compliance with national laws, consistent with national strategies, plans, and programs.**
2.	2.	Construction/ Improvement of Access Roads leading to Seaports (Kalsada Tungo sa Paliparan, Riles at Daungan Program - KATUPARAN)	X					2,179.725	Section 1-3, Book IV, Title V, Executive 292 Series of 1987 Section 17, sub-sections(c) and (f), of RA 7160 NEDA Board Resolution No.5 Series of 2017, "National Transport Policy".	
2.	3.	Construction/ Improvement of Access Roads leading to Railway Stations (Kalsada Tungo sa Paliparan, Riles at Daungan Program - KATUPARAN)	X					375.110	Section 1-3, Book IV, Title V, Executive 292 Series of 1987 Section 17, sub-sections(c) and (f), of RA 7160 NEDA Board Resolution No.5 Series of 2017, "National Transport Policy".	
2.	4.	Construction/ Improvement of Access Roads leading to Declared Tourism Destinations (Tourism Road Infrastructure Program - TRIP)	X					16,763.321	Section 5, 34, 35, 36, 37 and 38 of RA 9593 "Tourism Act of 2009" Section 1-3, Executive 292 Series of 1987 NEDA Board Resolution No.5 Series of 2017, "National Transport Policy".	

									Section 17, sub-sections (c) and (f), RA 7160	
1.	5.	Construction/ Improvement of Access Roads leading to Trades, Industries and Economic Zones (Roads Leveraging Linkages for Industry and Trade Infrastructure Program - ROLL-IT)	X					13,355.298	Section 1 & 3 of RA 7844 "Export Development Act" Section 2 & 5 of RA 6977 "Magna Carta for Small and Medium Enterprises" Executive Order 133 Series of 1987 Section 1-3, Book IV, Title V, Executive 292 Series of 1987 Section 17, sub-sections (c) and (f), of RA 7160. NEDA Board Resolution No.5 Series of 2017, "National Transport Policy"	
1.	6.	Construction/Improvement of Various Infrastructures in Support of National Security (Tatag ng Imprastraktura para sa Kapayapaan at Seguridad Program - TIKAS)	X					7,766.683	Section 1-3, Book IV, Title V, Executive 292 Series of 1987 Section 15, Chapter 1, Subtitle ii, Title VIII, Book IV, Executive Order 292 Section 2 Commonwealth Act No. 1 "National Defense Act" RA 1190, "Civil Defense Act"	

									RA 9372 "Human Security Act of 2007" EO No. 16 Series of 2017, "The National Security Policy (201 EO No. 70 Series of 2018 "Institutionalizing The Whole-Of-Nation Approach In Attaining NEDA Board Resolution No.5 Series of 2017, "National Transport Policy". Inclusive And Sustainable Peace, Creating A National Task Force To End Local Communist Armed Conflict, And Directing The Adoption Of A National Peace Framework" Section 17, sub-sections (c) and (f), RA 7160	
2.	7.	Improvement of Capacity of Provincial Bridges along Provincial Roads (Provincial Bridges for Economic Development - PROBRED)	X					476.200	Section 1-3, Book IV, Title V, Executive 292 Series of 1987 Section 17, sub-sections (c) and (f), of RA 7160. NEDA Board Resolution No.5 Series of 2017, "National Transport Policy".	
2.	**8.**	Construction/ Rehabilitation of Water Supply/ Septage and Sewerage/ Rain Water Collectors	X					2,809.341	Executive 292 Series of 1987 Section 17, sub-sections (c) and (f), RA 7160	

2.	9	Construction/Rehabilitation/Improvement of Facilities for Persons with Disabilities (PWD) and Elderlies/Senior Citizens, including Gender-Responsive Facilities	X					550.270	Section 1-3, Book IV, Title V, Executive 292 Series of 1987 Section 17, sub-sections (c) and (f), RA 7160	
2.	10.	Rehabilitation of Disaster-Related Infrastructure and Other Facilities	X					1,000.000	Section 1-3, Book IV, Title V, Executive 292 Series of 1987 Section 17, sub-sections (c) and (f), RA 7160	
2.	11.	Pasig River Ferry Convergence ProgramRehabilitation of Disaster-Related Infrastructure and Other Facilities	X					150.000	Section 1-3, Book IV, Title V, Executive 292 Series of 1987 Section 17, sub-sections (c) and (f), RA 7160	
2.	12.	Construction, upgrading, repair, and rehabilitation of roads, bridges, and road drainage using Special Road Fund - Motor Vehicle User's Charge (MVUC)Pasig River Ferry Convergence Program	X					15,067.000	Section 1-3, Book IV, Title V, Executive 292 Series of 1987 Section 17, sub-sections (c) and (f), RA 7160	

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Annexes for the Devolution Transition Plans of National Government Agencies

Legend:

[1] Specifies the department/agency devolved function, service, facility (PPA) to be disaggregated or unbundled per level of government, including **all** devolved services which are already being performed by the LGUs (Note: The PPA may not necessarily correspond to a line item in the agency budget.)
[2] Identifies which level of government the function/service/facility/PPA shall be appropriately assigned to
[3] Indicates the corresponding budget tagged on each function/service/facility/PPA and the budget assigned per level of government, if feasible
[4] Identifies the pertinent legal bases directing or mandating the assignment and devolution of the function/service/PPA to local governments, indicating the year the function/service/facility/PPA was devolved, e.g., Local Government Code (LGC) of 1991 and pertinent laws/executive issuances
[5] Discusses briefly the principle/s governing the devolution/decentralization of the function/service/PPA, rationale/justifications and other important considerations, specifically identifying and disaggregating the PPAs assigned to the central office (CO), regional offices (ROs), down to each level of LGU

Notes and Guide Questions:

1. The purpose of this template is to help the national government agencies (NGAs) think through and provide greater clarity in the assignment of functions across the different levels of government. When government functions are broadly articulated, many of them would appear to be the shared responsibility of both the national and local governments. This may result in the duplication of efforts on the part of both the national and local governments and/or in an overall failure to meet the demand for much needed services. At the same, it tends to blur the lines of accountability and responsibility of the national government vis-à-vis the local government units (LGUs). Hence, the detailed specifications of the duties and responsibilities that will be retained by the departments and agencies versus those that can be devolved to the LGUs are important. Thus, the NGAs are strongly advised to consult their respective operations units, including regional offices, to seek the lessons they have learned, and consult with the LGUs to seek their inputs, as emphasized during the consultation meetings.

2. In more specific terms, this template is designed to assist the NGAs to unbundle or deconstruct their PPAs in such a way that each component part of an NGA's PPA may exclusively be assigned to different levels of government, and in a manner that is aligned with the list of functions assigned to the different levels of LGUs under the 1991 LGC, as well as other relevant laws (Refer to column [4]).

   PPAs are a detailed disaggregation of activities and projects under a function. They should not be construed to be the same as a program, activity and project (PAP) or line item under the agency budget. PPAs may, but not necessarily, be the same as an agency budget line item.

3. Relatedly, identifying and disaggregating the PPAs from those to be assigned to the CO, ROs, down to each level of LGU, will avoid redundancies and/or unnecessary overlaps. The agency should provide sufficient/strong justification/explanation for the proposed retention of a PPA under the NG. These shall be discussed in column [5].

4. The decomposition of broadly articulated government functions by level of government may be done in a number of ways.

   One, broadly defined sectors/functions may be decomposed in terms of their national-local dimension on the basis of whether the benefits of the services provided are national or local in scope, e.g., national highways versus provincial/city/municipal roads.

   Two, broadly defined sectors/functions may also be unbundled along management functions, i.e., (i) policy formulation and standards setting, (ii) regulation and/or enforcement of standards, (iii) planning, (iv) financing, (v) implementation or actual service delivery, and (vi) monitoring and reporting. Typically, policy formulation and standards setting are assigned to the national government, while implementation (or at least some aspects of it) may be assigned to the local governments. At the same time, different aspects of enforcement of standards may be assigned exclusively to different levels of governments.

   Three, broadly defined sectors/functions may also be decomposed in terms of their sub-competencies, e.g., education may be broken down into elementary, secondary, technical and vocational education and training, and tertiary sub-sectors.

5. Here are some questions that may help the NGAs in this unbundling exercise:

   - For each one of the NGA's PPA,

1. Does the LGC or other pertinent pieces of legislation give the LGUs the sole responsibility for the administration, provision, and/ or delivery of goods and services that are associated with the specified PPA in its entirety?
2. Is it possible to decompose the specified PPA into its component parts, such that any one of these component parts are assigned exclusively to the LGUs either on the basis of the LGC or other pertinent laws?

- For each one of the component parts of any given PPA,
  a) Are the benefits resulting from the implementation/performance of the specified component part of the PPA enjoyed exclusively by the constituents of a specific level of LGU?
  b) Does the specified component part of the PPA have benefits that spill over outside the territorial jurisdiction of a specific level of LGU?
  c) Are there economies of scale involved in the delivery of the specified component part of the PPA? Is the average size province large enough to implement the specified component part of the PPA? How about the average size city or municipality?
  d) How big a role does the specified component part of the PPA have in the attainment of the government's overall redistributive goal?

  Additional details on the differentiation of the PPAs that can be assigned to the agency CO, ROs, provinces, cities, municipalities and barangays can further be explained in column [5]. The more exclusive the PPAs (and the more specific their description) to be assigned to the CO, ROs and the different LGU levels, the better for assignment and accountability purposes.

6. The accomplished template is intended to be shared with the different levels of LGUs for their reference and guidance. Hence, this should also cover all services and facilities which have already been devolved to the LGUs in the previous years. Such devolved functions and services may be indicated at the end of the matrix and briefly discussed in column [5].

7. This template is also intended to assist the fiscal oversight agencies to have baseline information for the budgetary resources that are allocated for each one of the component parts of an NGA's PPAs. In order to do this, the total budget of an NGA's PAP as provided under the FY 2021 GAA shall be indicated. Based on the unbundling of functions/services, the NGA should be able to specifically identify which components of the PPAs are for assignment to the LGUs. The corresponding budget tagged on said components of the PPA shall also be disaggregated per level of government. The total amount indicated in all levels of government per component should correspond to the total budget of the pertinent PAP under the FY 2021 GAA (Refer to column [3]).

ANNEX B

Implementation Strategy and Phasing of Devolution Transition Activities

Department of Public Works and Highways

Function/Service/Facility/ Program/Project/Activity [1]	Strategies and Activities [2]	Timeline/Schedule [3]	Level of Local Government Unit (LGU) [4]	Expected Output/Outcome [5]	Responsible Organization/Unit [6]	Success Indicator for Target LGUs [7]
PHASE I (FY 2021- 2022)						
1. Construction / Repair / Rehabilitation / Improvement of Various Infrastructure including Local Projects 2. Local Infrastructure Program	**Strategy #1** **Creation of DPWH Steering Committee and Task Group** Activities 1. Develop a strong communications plan and shall pursue strategies to convey to the general public, as well as other stakeholders, the delineation of the functions between the NGAs and the LGUs to ensure the full implementation of the DTP	Q3 2021	NGA	Outcome : Strengthened capacity and capability of LGUs to assume the devolved functions Output: Institutional Development Program/Comprehensive Devolution Management Plan	DPWH Central, Regional and District engineering Office	Issued Special Order "Creation of a Technical Working Group (TWG) and its Secretariat for the Preparation of the DPWH Devolution Transition Plan (DTP)
	Strategy # 2 **Conduct dialogue with DILG, other NGAs regarding requirements and processes of the DTP** Activities 2. Dialogues with DILG on collaboration / coordination of the	Q4 2021	NGA	Outcome : Strengthened relationship and trust are built between the NGA's Output: Institutional Development	DPWH Central (DTP Steering Committee)	Official Record of Meeting Discussions/Agreements

	programs of DPWH with other programs of DILG 3. Discussion with DILG and other NGA central and regional offices, regarding the documentary requirements and process that details the directive of the EO 138 on the role of the NGAs and LGU for the Devolved Functions			Program/Comprehensive Devolution Management Plan		
	Strategy # 3 **Consultation with the DILG, DPWH ROs and DEOs, and LGUs, To level off with the LGU and inform them of the PPAs to be discontinued, and resolve concerns/issues** Activities 1. Conduct of consultation meetings/FGDs with the LGUs and DPWH ROs and DEOs 2. Identification and Consolidation of Issues and Concerns on Project Development and Management 3. Assessment of Technical Capabilities of the LGUs and DPWH ROs and DEOs 4. Conduct of Learning Needs Assessment with the LGU engineers	Q4 2021	Province/City/Municipality	*Outcome* 1. Strengthened relationship and trust between the NGA and the LGUs 2. Established Technical Capabilities and Gaps of the LGUs *Outputs* 1. Consolidated Issues and Concerns 2. Identified areas of concern or issues raised by the LGUs and the corresponding action plan of the agency and the LGU concerned to address the same 3. Number or percent of target LGUs to be capacitated 4. Proposed Training Course/Program	DPWH Central Office	100% of the LGUs (1st to 4th Income Class) and DPWH ROs and DEOs are consulted and assessed in terms of their capacities

	Strategy # 4 **DPWH Training of Trainers on Project Development Cycle** Planning • Convergence Infrastructure Planning • Output and Impact Monitoring and Evaluation • In-House Feasibility Studies for Roads and Bridge Projects • ESIA and Preparation of RAP and GAD Studies • IEC on NSSMP • Road Inventory & Road Condition Assessment Surveys and Roughness Surveys • VOC/RUC Surveys • Data Management using IT-based Applications Design • Engineering Survey • Geological Investigation • Geotechnical Investigation • Architectural Design • Detailed Engineering Design (Roads, Bridges, Buildings, and Flood Control Projects) Procurement (RIRR of RA 9184) Project Implementation and Contract Management • POW and ABC • Construction Schedule • Perfection of Contract • Project Monitoring and Documentation	Q1 2022 Q4 2021 – Q2 2022 Q4 2021 – Q2 2022	NGA Regional and District Offices	*Outcome* Proficient trainers to conduct trainings on the activities of the Project Development Cycle *Outputs* 1. Conducted training of trainers on Project Development Cycle	DPWH Central Office Planning Service Bureau of Design Procurement Service Bureau of Construction	Approved training programs 100% of Trainers per RO and DEO capacitated to conduct the training to LGUs under their jurisdiction (Targets established as the result of Strategy #3)

	• Contract-Related Claims • Project Close-Out • Assessment of Technical Capabilities of the LGUs Project Supervision and Quality Assurance in Project Implementation • Comprehensive Training for Field Engineers (Project Engineers and Materials Engineer) • Materials Testing Monitoring and Evaluation • Public Consultation • Public Assistance and Complaints Monitoring • Conduct of Internal and External Stakeholders Satisfaction Survey • Project Impact Evaluation and Assessment Activities: 1. Preparation of Course Design and Presentation Materials 2. Identification of Participants 3. Training Proper	Q4 2021 – Q2 2022			Bureau of Quality and Safety Bureau of Research and Standards Stakeholders Relations Service	
	Strategy # 5 **Provision of capacity building/ technical assistance to the LGUs** To build the capacity of the LGUs to undertake the devolved functions	Q2 2022 to 2024	Province/City/Municipality/ Barangay	*Outcome* 1. Strengthened relationship and trust between the NGA and the LGUs 2. Increased capacity of LGUs to take on the devolved functions	DPWH Central, Regional and District engineering Office	100% of Trainers per RO and DEO capacitated to conduct the training to LGUs under their jurisdiction (Targets established as the result of Strategy #3)

	Activities 1. Conduct of Learning Needs Assessment with the LGU engineers 2. Conduct of trainings with the LGU engineers			*Outputs* 1. Number of training programs conducted		
PHASE II (FY 2023)						
	Strategy # 1 Initial Assessment of Performance of the LGUs in Coordination with the DILG (1ST CYCLE) 1. Preparation of Performance Rating Criteria Preparation of Design Assessment Guidelines for LGUs 2. Preparation of Assessment Performance Schedule 3. Conduct of Assessment 4. Analysis of Assessment Results 5. Recommendations/Opportunities for Improvement	2023	Province/ Municipalities	*Outcome* 1. Increased capacity of LGUs to take on devolved functions *Output* 1. Performance Rating of all LGUs	DPWH Regional Offices, District Engineering Offices	100% of LGUs assessed (Targets established as the result of Phase I, Strategy #3)
PHASE III (FY 2024)						
	Strategy # 1 **Final Assessment of Performance of the LGUs in Coordination with the DILG (2nd CYCLE)** 1. Preparation of Assessment Performance Schedule	2024	Province/Municipalities	*Outcome* 2. Increased capacity of LGUs to take on devolved functions	DPWH Regional Offices, District Engineering Offices	100% of LGUs assessed (Targets established as the result of Phase I, Strategy #3)

	2. Conduct of Final Assessment 3. Analysis of Results			*Output* 2. Performance Rating of all LGUs		
PHASE I (FY 2021-2022)						
CONVERGENCE AND SPECIAL SUPPORT PROGRAM 1. Construction/ Improvement of Access Roads leading to Airports 2. Construction/ Improvement of Access Roads leading to Seaports 3. Construction/ Improvement of Access Roads leading to Railway Stations 4. Construction/ Improvement of Access Roads leading to Declared Tourism Destinations 5. Construction/ Improvement of Access Roads leading to Trades, Industries and Economic Zones 6. Improvement of Capacity of Provincial Bridges along Provincial Roads	Strategy # 1 Creation of DPWH Steering Committee and Task Group • To develop a strong communication plan and pursue strategies to convey to the general public, as well as other stakeholders, the delineation of functions between the NGAs and the LGUs to ensure the full implementation of the DTP Strategy # 2 Conduct dialogue with DILG, other NGAs re improvement of criteria, requirements, and processes of the DPWH Convergence Programs Activities 1. Dialogues with DILG on collaboration / coordination of convergence programs of DPWH with other programs of DILG	Q3 2021 Q4 2021	NGA NGA	Outcome : Strengthened relationship and trust are built between the NGA and LGUs Output: Institutional Development Program/Comprehensive Devolution Management Plan Outcome : Clarified and measured the degree of "inter-jurisdictional externalities" and "economies of scale" from the point of view of tourism (DOT-DPWH), industry development (in the case of DTI-DPWH), on transportation development (in the case of DOTr) Output:	DPWH Central, Regional and District Engineering Offices DILG, DOT, DTI, DOTr, DPWH, NGAs Regional Offices	No. of target Participants from NGA, Regional Offices which participated in the consultation meetings

	2. Discussion DOT, DTI, DOTr central and regional offices, to develop additional criteria, documentary requirements, and process that detail the requirements of the EO 138 on the role of the NG (DPWH) on funding infrastructure projects, particularly on the Convergence Programs • This includes the 3 principles under EO 138, Section 2, i) inter-jurisdictional externalities, ii) economies of scale, and iii) redistributive role of national government • Clarify and measure the degree of "inter jurisdictional externalities" and "economies of scale" from the point of view of tourism (DOT-DPWH), industry development (in the case of DTI-DPWH), on transportation development (in the case of DOTr Strategy # 3 Consultation with local government units (LGUs), together with national and local private sector stakeholders regarding the additional criteria and processes	Q4 2021 - Q1 2022	Province/Municipality	Institutional Development Program/Comprehensive Devolution Management Plan Outcome: Strengthened relationship and trust are built between the NGA and LGUs	DILG, DOT, DTI, DOTr, DPWH, NGAs Regional Offices, LGUs	No. of target Provinces/Cities/Municipalities which participated in the consultation meetings

	Activities 1. Organize consultation meetings with LGUs in tourism development areas (in the case of DOT), and with LGUs in major logistics and processing hubs (in the case of DTI), and with LGUs in strategic ports, airports, and railways (in the case of DOTr) 2. Organize consultation with national and local business stakeholders Strategy # 4 Training on convergence infrastructure planning Activities 1. Assess the LGU capabilities in preparing infrastructure projects for submission to the new convergence program requirements 2. Organize training sessions on utilizing the new Convergence criteria and processes, in developing proposals for infrastructure projects [a. Train the trainors (ROs of DPWH and partner NGAs) b. Train the LGUs]	Q1 - Q4 2022	Province/City/Municipality	Increased Capacity of LGU to take on the devolve functions Output: Identified Areas of concern or issues raised by the LGUs and the corresponding action plan of the agency and the LGU concerned to address the same Outcome: Strengthened relationship and trust are built between the NGA and LGUs Increased Capacity of LGU to take on the devolve functions Output: Identified Areas of concern or issues raised by the LGUs and the corresponding action plan of the agency and the LGU concerned to address the same	DILG, DOT, DTI, DOTr, DPWH, NGAs Regional Offices, LGUs	Number of target LGUs Capacitated
PHASE II (FY 2023)						
CONVERGENCE AND SPECIAL SUPPORT PROGRAM	Strategy # 1 Provision of capacity Building/technical	Q1 - Q4 2023	Province/City/Municipality	Outcome: Strengthened relationship and	DILG, DOT, DTI, DOTr, DPWH, NGAs Regional Offices, LGUs	Number of target LGUs Capacitated

7. Construction/ Improvement of Access Roads leading to Airports 8. Construction/ Improvement of Access Roads leading to Seaports 9. Construction/ Improvement of Access Roads leading to Railway Stations 10. Construction/ Improvement of Access Roads leading to Declared Tourism Destinations 11. Construction/ Improvement of Access Roads leading to Trades, Industries and Economic Zones 12. Improvement of Capacity of Provincial Bridges along Provincial Roads	assistance to the LGUs on Convergence Infrastructure Planning Activities 1. Assist the LGUs in planning and sourcing appropriate funds for local infrastructure, in cases where projects are not qualified under the new criteria of the convergence programs • E.g. capacitate LGUs how to plan and fund (including multi-year funding) their local infrastructure projects • Refer important local infra projects to other sources of funds (e.g. local PPP, donor funds, or bank/government bank loans) 2. Organize training sessions on output and impact monitoring and evaluation Strategy # 2 Facilitation of networking and collaboration, and cultivation of sharing culture among governments Activity 1. Meetings / Brainstorming sessions on how to work together in improving the process infrastructure planning of the convergence programs, in relation with other infrastructure	Q1 - Q4 2023	Province/City/Municipality	trust are built between the NGA and LGUs Increased Capacity of LGU to take on the devolve functions Output: Identified Areas of concern or issues raised by the LGUs and the corresponding action plan of the agency and the LGU concerned to address the same Outcome: Strengthened relationship and trust are built between the NGA and LGUs Increased Capacity of LGU to take on the devolve functions Output:	DILG, DOT, DTI, DOTr, DPWH, NGAs Regional Offices, LGUs	Number of target LGUs Capacitated

	programs, and other programs of the NGAs and their LGUs. 2. Organize seminars on related technical topics, and solicit ideas on how national and local governments can work hand-in-hand. Sample topic: • "Sustainable Tourism Development and The Role of Road Infrastructure" • "Ecommerce and Logistics, and New Requirements for Road Planning"			Identified Areas of concern or issues raised by the LGUs and the corresponding action plan of the agency and the LGU concerned to address the same		
PHASE III (FY 2024)						
CONVERGENCE AND SPECIAL SUPPORT PROGRAM 13. Construction/ Improvement of Access Roads leading to Airports 14. Construction/ Improvement of Access Roads leading to Seaports 15. Construction/ Improvement of Access Roads leading to Railway Stations 16. Construction/ Improvement of Access Roads leading to Declared Tourism Destinations	Strategy # 2 Facilitation of networking and collaboration, and cultivation of sharing culture among governments Activity 1. Sharing of best practices / best projects of the DPWH Convergence Programs 2. Sharing of data and analytics of projects and their outcomes of the Convergence Programs	Q1 - Q4 2024	Province/City/Municipality	Outcome: Strengthened relationship and trust are built between the NGA and LGUs Increased Capacity of LGU to take on the devolve functions Output: Identified Areas of concern or issues raised by the LGUs and the corresponding action plan of the agency and the LGU concerned to address the same	DILG, DOT, DTI, DOTr, DPWH, NGAs Regional Offices, LGUs	Number of target LGUs Capacitated

17. Construction/ Improvement of Access Roads leading to Trades, Industries and Economic Zones 18. Improvement of Capacity of Provincial Bridges along Provincial Roads						

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Office of the Secretary
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Annexes for the Devolution Transition Plans of National Government Agencies

Legend:

[1] Specifies the department/agency devolved function, service, facility, or PPA
[2] Describes the strategies and activities to be undertaken by the NGA starting 2021, 2022 and in the medium term, e.g., consultations with offices involved, the RDCs and target LGUs to plan the strategy, rolling out of delivery standards to capacitate the LGUs, development of and entering into memoranda of agreement (MOAs) with the LGUs for capacity building, implementation of the devolution and monitoring of devolved functions, participation in training programs to be set up and coordinated by the DILG-Local Government Academy, conduct of demonstration projects with the LGUs, etc., to successfully help the LGUs to implement and manage the devolved services relevant to the PPAs to be discontinued, so as not to create a vacuum in the delivery of the pertinent basic services, including the rationale for the proposed strategies
[3] Provides the approximate timeline/schedule of the activities to be done per strategy and activity, specifying the date/month/quarter, as feasible
[4] Identifies which level of LGU will be involved in the activities, i.e., P/C/M/B, including the specific unit/personnel in the LGU that needs to be capacitated, if applicable
[5] Indicates the expected results per strategy (outcomes), and per activity (outputs)
[6] Identifies the organization/unit responsible in the department/agency for each devolution transition activity
[7] Provides a set of quantitative/measurable values to evaluate the success or achievement of a particular devolution transition strategy or activity

Notes:

- This matrix shall present the details of the phasing of the devolution transition activities to the LGUs, taking into consideration their capacity and resources, and as well as the impact of the devolution on their delivery of services/programs and growth.
- In phasing the devolution transition, the agency may first delimit the scope of work by considering the set of LGUs most important in meeting their sector outputs and outcomes. Secondly, the agency should consider their resources and capacity, devolving activities to the richer and more capable 1st to 4th class LGUs initially, and providing technical assistance to help them take over the function and implement them well. In this manner, focus can be given to the LGUs or areas which exhibit the most deficiencies/shortfalls in meeting sector outputs and outcomes but which have the least resources and capacities. Another suggestion is to try to phase the assistance (e.g., cost-sharing, implementation and management assistance) in a manner that will enable the different sets of LGUs to finally take over the funding and management of the function after a specific number of year/s before going to the next ones. This may be a win-win situation for both the NGA and the LGUs.
- The checklist of criteria and conditions necessary to determine the readiness of the LGUs to take on and manage the delivery of the devolved services shall be included as an attachment to this Annex.
- The strategies and activities indicated under column [2] shall be further detailed and supported by the modes of technical assistance to be provided by the NGA to the LGUs under Annex E.
- Roadmaps, Gantt Charts, among other tools, may be presented to show the implementation schedule and phasing of the NGA devolution transition activities.

ANNEX C-1

Registry of Service Standards for the Delivery of Devolved Functions, Services and Facilities

Department of Public Works and Highways

Function/ Program/ Project/ Activity and Corresponding Service* [1]	Local Government Unit (LGU) Level and Office/Unit to Use the Standard [2]	Beneficiary/ User [3]	Service Delivery Standards [4]									Title of References [5]	Updating Status [6]
			OUTPUT		PROCESS	INPUT				Fee to Avail Service (if any) [4.8]	Other Standards [4.9]		
			Specifications of Service [4.1]	Quality of Service [4.2]	Procedural Standards [4.3]	Resource Inputs [4.4]	Standard Cost to Deliver the Service [4.5]	Technical Specifications of Input [4.6]	Qualification/ Competency of Service Provider [4.7]				
LOCAL PROGRAM • **Local Infrastructure Program** • **Construction / Repair / Rehabilitation / Improvement of Various Infrastructure including Local Projects**													
1. Buildings and Other Structures													
• *School Buildings*	Provincial / City / Municipal Engineering Office	Students / Teachers	No. of *disaster-resilient* school buildings with number of classrooms that can accommodate the target number of students	School Buildings designed using the latest design codes and specifications responsive to local geological and seismic conditions Sufficient no. of facilities (e.g. library, restrooms, clinics) With inclusive facilities to	Conduct of Site Survey and Investigation (Geological and Geotechnical)	• Land • Survey Equipment	Total amount to construct school building depends on the required number of rooms/floors, site location, and conditions	DPWH Design Guidelines, Criteria and Standards, Volume 2B and 2C, 2015 Ed. Compliance with RA 10752 and DPWH DO No. 152 s. 2017	Licensed Geodetic or Civil Engineer			DPWH Department Order No, 114, series of 2018 ("Revised Guidelines on the Provisional Accreditation of DPWH Project Engineers and Inspectors")	
					POW and ABC Preparation	• Standard Design Plan • Cost Estimation Manual • Labor Rates • ACEL Rates		DPWH Standard Specifications for Public Work Structures (Buildings, Ports and Harbors, Flood Control and Drainage	Licensed Engineers			DPWH Department Order No. 108, series of 2018 on "Guidelines on the Provisional Accreditation of	

				accommodate the needs of students and teachers (e.g. PWDs)		• CMPD/LGU Database		Structures, and Water Supply System) – Volume III Design Standards and Codes (NSCP, NBCP, MEFP Code, etc)				DPWH Materials Engineers and Materials Inspectors" Republic Act No. 9184 DPWH D.O. 81 s. 2020 62 s. 2020 72 s. 2019 9 s. 2019 52 s. 2019 128 s. 2018 20 s. 2018 207 s. 2016 197 s. 2016 68 s. 2016 163 s. 2015 141 s. 2014 71 s. 2013 91 s. 2012 44 s. 2012 54 s. 2011 32 s. 2011 3 s. 2010 56 s. 2005 CMPD, Standard Labor Rates (DOLE), Equipment Rental Guidebook (ACEL), DPWH Standard Cost Estimating Manual, Materials Source Map DO 45 s. 2020,	
					Conduct of Architectural Design	Architectural Design Plans		National Building Code of the Philippines	Licensed Architect				
					Conduct of Detailed Engineering Design	Detailed Engineering Design Plans		National Structural Code of the Philippines DPWH Design Guidelines, Criteria and Standards Vol. 6 2015 Ed.	Licensed Civil/ Structural Engineer				
					Procurement	Approved Annual Procurement Plan (APP) Approved Budget for the Contract (ABC) Bidding Documents		DPWH Procurement Manual-Volume II (Infrastructure) RA 9184 and its 2016 Revised Implementing Rules and Regulations GPPB Issuances and Guidelines on Procurement	As required in Sec. 11.2.3 of the 2016 RIRR of RA 9184 (BAC composition) -must be permanent plantilla attendance to training program conducted, authorized or accredited by the GPPB-TSO within 6 months upon				

									designation as BAC, Secretariat and/or TWG member/s			17 s. 2019 72 s. 2019 66 s. 2017 192 s. 2016
					Project Implementation and Contract Management	Civil Engineer		DO 115, series of 2018	Accredited Project Engineers / Project Inspectors		DO 99, series of 2015- Requirements for Issuance of Certificate of Completion and Certificate of Acceptance for Infrastructure Contracts	133 s. 2015 28 s. 2015 95 s. 2013 47 s. 2012 62 s. 1998 42 s. 2020 17 s. 2020 72 s. 2019 106 s. 2018 12 s. 2018 193 s. 2016 192 s. 2016 133 s. 2015
					Quality Assurance in Project Implementation	Civil Engineer			Accredited Materials Engineer			90 s. 2020 85 s. 2020 62 s. 2020 55 s. 2020 50 s. 2020
					Materials Testing	Laboratory Equipment						42 s. 2020 39 s. 2020 72 s. 2019
					Conduct of Public Consultation							28 s. 2019 115 s. 2018 13 s. 2018
					Output and Impact Monitoring and Evaluation							35 s. 2018 137 s. 2017 66 s. 2017 38 s. 2016
• *Multi-Purpose Facilities*	Provincial / City / Municipal Engineering Office	Local Residents	• No. of Multi-Purpose Facilities constructed that can accommodate the target number of	• Multi-Purpose Facilities designed using the latest design codes and specificatio	Conduct of Site Survey and Investigation (Geological and Geotechnical)	• Land • Survey Equipment	Total amount to construct multi-purpose facilities depends on the required number of rooms/floors,	DPWH Design Guidelines, Criteria and Standards, Volume 2B and 2C, 2015 Ed. Compliance with RA 10752 and	Licensed Geodetic or Civil Engineer			15 s. 2016 159 s. 2015 135 s. 2015 133 s. 2015 125 s. 2015 99 s. 2015 129 s. 2014 109 s. 2014

			local residents	ns responsive to local geological and seismic conditions			site location, and conditions	DPWH DO No. 152 s. 2017				47 s. 2012 4 s. 2012	
					POW and ABC Preparation	Standard Design Plan Cost Estimation Manual Labor Rates ACEL Rates CMPD/LGU Database		DPWH Standard Specifications for Public Work Structures (Buildings, Ports and Harbors, Flood Control and Drainage Structures, and Water Supply System) – Volume III Design Standards and Codes (NSCP, NBCP, MEFP Code, etc)	Licensed Civil Engineers			54 s. 2011 32 s. 2p11 54 s. 2011 32 s. 2011 12 s. 2009 24 s. 2007 56 s. 2005 62 s. 1998 245 s. 2003 57 s. 2016 54 s. 2004 124 s. 2016	
					Conduct of Architectural Design	Architectural Design Plans		National Building Code of the Philippines	Licensed Architect				
					Conduct of Detailed Engineering Design	Detailed Engineering Design Plans		National Structural Code of the Philippines DPWH Design Guidelines, Criteria and Standards Vol. 6 2015 Ed.	Licensed Civil/ Structural Engineer				
					Procurement			Approved Annual Procurement Plan (APP)	DPWH Procurement Manual-Volume II (Infrastructure)	As required in Sec. 11.2.3 of the 2016 RIRR of RA 9184			

								Approved Budget for the Contract (ABC) Bidding Documents	RA 9184 and its 2016 Revised Implementing Rules and Regulations GPPB Issuances and Guidelines on Procurement	(BAC composition) -must be permanent plantilla attendance to training program conducted, authorized or accredited by the GPPB-TSO within 6 months upon designation as BAC, Secretariat and/or TWG member/s			
					Project Implementation and Contract Management	Civil Engineer		DO 115, series of 2018	Accredited Project Engineers / Project Inspectors		DO 99, series of 2015- Requirements for Issuance of Certificate of Completion and Certificate of Acceptance		

												for Infrastructure Contracts	
					Quality Assurance in Project Implementation	Civil Engineer			Accredited Materials Engineer				
					Materials Testing	Laboratory Equipment							
					Conduct of Public Consultation								
					Output and Impact Monitoring and Evaluation								
2. Local Roads and Bridges													
• Local Roads	Provincial / City / Municipal Engineering Office	Local Residents / Farmers / Road Users	• No. of kilometers of local roads constructed that can accommodate the target number of road users	Local roads designed using the latest design codes and specifications responsive to local geological and seismic conditions	Convergence Infrastructure Planning								
					Conduct of In-House Feasibility Studies	Survey Equipment		Existing guidelines on the conduct of feasibility studies					
					ESIA and Preparation of RAP and GAD Studies			Social and Environmental Management System Operations Manual Revised Procedural					

								Manual (DAO 03-30)					
					Road Inventory and Road Condition Assessment Surveys and Roughness Surveys	Survey Equipment		Road Condition Manual Ver. 2 (2019) Road Network Definition and Inventory Update Manual (2019)					
					Data Management using IT-based Applications	Data Processing and Storage Equipment		RBIA User Guide Manual (2015)					
					Conduct of Site Survey and Investigation (Geological and Geotechnical)	Land		DPWH Design Guidelines, Criteria and Standards, Volume 2B and 2C, 2015 Ed. Compliance with RA 10752 and DPWH DO No. 152 s. 2017	Licensed Geodetic or Civil Engineer				
					POW and ABC Preparation	Standard Design Plan Cost Estimation Manual Labor Rates ACEL Rates CMPD/LGU Database		DPWH Standard Specifications for Public Work Structures (Buildings, Ports and Harbors, Flood Control and Drainage Structures, and Water Supply System) – Volume III	Licensed Engineers				

								Design Standards and Codes (NSCP, NBCP, MEFP Code, etc)					
					Conduct of Detailed Engineering Design	Detailed Engineering Design Plans		DPWH Design Guidelines, Criteria and Standards Vol. 4, 2015 Ed.	Licensed Civil/ Highway Engineer				
					Preparation of Traffic Management Plan for Intersection Improvements*	Licensed Civil Engineer Traffic Analysis and Simulation Software Computer Surveying and Measuring Equipment			Has Undergone Traffic Engineering and Management Training				
					*Road Safety Audit of Detailed Engineering Design Plans and Worksite Traffic Management Plans** **Additional activities under Conduct of*	Licensed Civil Engineer Computer (MS Office Tools)			Has undergone training on Road Safety Engineering		DPWH Road Safety Audit Manual, 2004 DPWH Highway Safety Design Standards Manual, Parts I and II, 2012 DPWH Roadworks Safety		

					Detailed Engineering Design						Manual, 2004 DO 13, series of 2018		
					Procurement	Procurement	Approved Annual Procurement Plan (APP) Approved Budget for the Contract (ABC) Bidding Documents		DPWH Procurement Manual-Volume II (Infrastructure) RA 9184 and its 2016 Revised Implementing Rules and Regulations GPPB Issuances and Guidelines on Procurement	As required in Sec. 11.2.3 of the 2016 RIRR of RA 9184 (BAC composition) -must be permanent plantilla attendance to training program conducted, authorized or accredited by the GPPB-TSO within 6 months upon designation as BAC,			

										Secretariat and/or TWG member/s			
					Project Implementation and Contract Management	Civil Engineer	Total amount to construct school building depends on the required number of rooms / floors, site location and conditions	DO 115, series of 2018	Accredited Project Engineers / Project Inspectors		DO 99, series of 2015- Requirements for Issuance of Certificate of Completion and Certificate of Acceptance for Infrastructure Contracts		
					Quality Assurance in Project Implementation	Civil Engineer			Accredited Materials Engineer				
					Materials Testing	Laboratory Equipment							
					Conduct of Public Consultation								
					Output and Impact Monitoring and Evaluation								
• Local Bridges	Provincial / City / Municipal Engineering Office	Local Residents / Farmers / Road Users	• No. of lineal meters of local bridges constructed that can accommodate the target vehicular loading	Local bridges designed using the latest design codes and specifications responsive to local geological and									

				seismic conditions									
3. Local Flood Control Structure and Drainage													
• Drainage/Protection Works	Provincial / City / Municipal Engineering Office	Local Residents	• No. of lineal meters of drainage works constructed • No. of lineal meters of protection works constructed	Drainage / Protection Works designed using the latest design codes and specifications responsive to local geological and seismic conditions and climate change adaptation	Conduct of In-House Feasibility Studies	Survey Equipment		Existing guidelines on the conduct of feasibility studies					
					Conduct of ESIA								
					Conduct of Site Survey and Investigation (Geological and Geotechnical)	• Land • Survey Equipment		DPWH Design Guidelines, Criteria and Standards, Volume 2B and 2C, 2015 Ed. Compliance with RA 10752 and DPWH DO No. 152 s. 2017	Licensed Geodetic or Civil Engineer				
					POW and ABC Preparation	Standard Design Plan Cost Estimation Manual Labor Rates ACEL Rates		DPWH Standard Specifications for Public Work Structures (Buildings, Ports and Harbors, Flood Control	Licensed Engineers				

						CMPD/LGU Database		and Drainage Structures, and Water Supply System) -- Volume III Design Standards and Codes (NSCP, NBCP, MEFP Code, etc)					
					Conduct of Architectural Design	Architectural Design Plans		DPWH Design Guidelines, Criteria, and Standards Vol. 5, 2015 Ed.	Licensed Architect				
					Conduct of Detailed Engineering Design	Detailed Engineering Design Plans		DPWH Design Guidelines, Criteria, and Standards Vol. 5, 2015 Ed.	Licensed Civil/ Bridge Engineer				
					Procurement	Procurement	Approved Annual Procurement Plan (APP) Approved Budget for the Contract (ABC) Bidding Documents		DPWH Procurement Manual-Volume II (Infrastructure) RA 9184 and its 2016 Revised Implementing Rules and Regulations GPPB Issuances and Guidelines on Procurement	As required in Sec. 11.2.3 of the 2016 RIRR of RA 9184 (BAC composition) -must be permanent plantilla attendance to training program conducte			

										d, authorized or accredited by the GPPB-TSO within 6 months upon designation as BAC, Secretariat and/or TWG member/s			
					Project Implementation and Contract Management	Civil Engineer	Total amount to construct school building depends on the required number of rooms / floors, site location and conditions	DO 115, series of 2018	Accredited Project Engineers / Project Inspectors		DO 99, series of 2015- Requirements for Issuance of Certificate of Completion and Certificate of Acceptance for Infrastructure Contracts		
					Quality Assurance in Project Implementation	Civil Engineer			Accredited Materials Engineer				
					Materials Testing	Laboratory Equipment							
					Conduct of Public Consultation								

					Output and Impact Monitoring and Evaluation								
• Flood Control Structures/Facilities	Provincial / City / Municipal Engineering Office	Local Residents	• No. of lineal meters of flood control structures constructed	Drainage / Protection Works designed using the latest design codes and specifications responsive to local geological and seismic conditions and climate change adaptation	Conduct of In-House Feasibility Studies								
					Conduct of ESIA								
					Conduct of Site Survey and Investigation (Geological and Geotechnical)	• Land • Survey Equipment		DPWH Design Guidelines, Criteria and Standards, Volume 2B and 2C, 2015 Ed. Compliance with RA 10752 and DPWH DO No. 152 s. 2017	Licensed Geodetic or Civil Engineer				
					POW and ABC Preparation	Standard Design Plan Cost Estimation Manual Labor Rates ACEL Rates CMPD/LGU Database		DPWH Standard Specifications for Public Work Structures (Buildings, Ports and Harbors, Flood Control and Drainage	Licensed Engineers				

								Structures, and Water Supply System) – Volume III Design Standards and Codes (NSCP, NBCP, MEFP Code, etc)					
					Conduct of Detailed Engineering Design	Detailed Engineering Design Plans		DPWH Design Guidelines, Criteria and Standards Vol. 3, 2015 Ed.	Licensed Civil/ Hydrology Engineer Licensed Civil/ Hydraulics Engineer				
					Procurement		Approved Annual Procurement Plan (APP) Approved Budget for the Contract (ABC) Bidding Documents		DPWH Procurement Manual-Volume II (Infrastructure) RA 9184 and its 2016 Revised Implementing Rules and Regulations GPPB Issuances and Guidelines on Procurement	As required in Sec. 11.2.3 of the 2016 RIRR of RA 9184 (BAC composition) -must be permanent plantilla attendance to training program conducted, authorized or accredited by the			

										GPPB-TSO within 6 months upon designation as BAC, Secretariat and/or TWG member/s			
					Project Implementation and Contract Management	Civil Engineer	Total amount to construct school building depends on the required number of rooms / floors, site location and conditions	DO 115, series of 2018	Accredited Project Engineers / Project Inspectors		DO 99, series of 2015-Requirements for Issuance of Certificate of Completion and Certificate of Acceptance for Infrastructure Contracts		
					Quality Assurance in Project Implementation	Civil Engineer			Accredited Materials Engineer				
					Materials Testing	Laboratory Equipment							
					Conduct of Public Consultation								
					Output and Impact Monitoring and Evaluation								

* **Service** pertains to the tangible or non-tangible byproduct of the performance of government functions delivered to the people, which involves transaction between the user/beneficiary and the service provider

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Legend:

[1] Identifies the devolved functions/PPAs as presented in Annex A and the corresponding services to be delivered by the LGUs under each function/PPA, including **all** devolved services which are already being performed by the LGUs [Examples: (1) DOT's accreditation of tourism enterprises relative to its function on the enforcement of tourism standards for tourism enterprises; and (2) NHA's provision of low-cost housing relative to its function to develop and implement a comprehensive and integrated housing program]
[2] Identifies which level of LGU (i.e., P/C/M/B) and the office/unit in the LGU which will deliver the service and use the service standards
[3] Indicates the recipients of the devolved services, or people/entities which should be regulated/monitored in case of devolved services involving enforcement of laws
[4] Lists down **all** pertinent standards the department/agency/GOCC has developed and needing to be developed for the delivery of devolved functions/services/facilities, which covers minimum cost, scope, and quality of the services to be delivered by the LGUs, among others
[4.1] Describes the specific outputs/products the beneficiary can expect to receive from the service
[4.2] Identifies and defines the attributes that can measure the degree to which the expected specifications of the service were met. This may be defined in terms of performance criteria such as timeliness, quantity, quality, effectiveness, among others.
[4.3] Specifies the necessary steps to execute/carry out/deliver the service
[4.4] Enumerates the resources needed to deliver the services, i.e. materials, facilities, and equipment
[4.5] States the recommended standard cost to deliver the devolved services, and construct, operate and maintain devolved facilities, among others
[4.6] Describes the qualities of resource inputs (e.g., material, facility, and equipment) essential to the delivery of the service to achieve the intended result/s
[4.7] Identifies the minimum required qualifications and skills of the personnel who will directly deliver the service to the public
[4.8] Indicates the recommended standard amount of fee the beneficiary/user should pay to avail of the service, if any
[4.9] Determines other pertinent service delivery standards not covered in items 4.1 to 4.8, if any (e.g., international standards)
[5] Identifies the titles and reference numbers of pertinent department/agency issuances and manuals governing the service delivery standards, as well as the objective/purpose of the delivery standards
[6] Indicates the date when the standards were last updated

Notes:

- This matrix shall contain the registry/inventory of existing and new (to be developed) standards for the delivery of devolved services to be disseminated to the LGUs, covering the minimum cost, scope, and quality of the services to be delivered by each level of LGU. This Annex is intended to be shared to the different levels of LGUs for their reference and guidance, and will be used by the Local Government Academy as inputs to the LGU Capacity Development Program.
- Hard and digital copies of existing NGA issuances, guidelines, and manuals shall be attached/provided.

ANNEX C-2

Summary of Recommended Organizational Structure and Staffing Complement for the LGUs

Department of Public of Works and Highways

Province/City/Municipality/Barangay

Organizational Unit [1]	Position Title [2]	Salary Grade [3]	Minimum Qualifications and Competencies [4]	No. of Positions [5]	Description [6]
Provinces/Cities					
Planning and Design Division	Engineer III Engineer II Architect II	19 16 16	RA 1080, BSCE, 24 Hours relevant training RA 1080, BSCE, 8 Hours relevant training RA 1080, BS Architecture, 8 Hours Relevant Training	1 10 1	There is already an existing unit in the Provincial/City Engineering Office that is in-charge in the implementation of projects. As such, only additional personnel will be needed to handle the devolved functions. The Planning and Design Division personnel will be divided among those who will become the Planning Engineers (E-II), Design Engineers (E-II), Architect II, and a Supervising Engineer (E-III).
Construction Division	Engineer II	16	RA 1080, BSCE, 8 Hours relevant training	10	There is already an existing unit in the Provincial/City Engineering Office that is in-charge in the implementation of projects. As such, only additional personnel will be needed to handle the devolved functions. The 10 personnel will be divided among those who will become the Project Engineers.
Quality Assurance Division	Engineer II	16	Engineering Competency, Project Management, Stakeholder Management	5	There is already an existing unit in the Provincial/City Engineering Office that is in-charge in the implementation of projects. As such, only additional personnel will be needed to handle the devolved functions. The 5 personnel will be assigned to monitor quality assurance related activities during implementation.
Bids and Awards Committee	Representatives from regular Offices of		-Unquestionable integrity and procurement proficiency;	at least 5 but not more than 7	The RIRR of RA 9184, the HOPE of Procuring Entities shall create its Bids and Awards Committee that will undertake the procurement activities of the Office, among others.

	the P/C (per Sec. 11.2.2 of 2016 Revised IRR of RA 9184		-attendance to procurement training or capacity development program, authorized by the GPPB-TSO within six (6) months upon designation;		
Bids and Awards Committee Secretariat	Engineer III (Head of Secretariat) Administrative Officers (to be identified by LGUs)		-Unquestionable integrity and procurement proficiency; -attendance to procurement training or capacity development program, authorized by the GPPB-TSO within six (6) months upon designation;	At least 5	The RIRR of RA 9184, The HoPE shall create a Secretariat which will serve as the main support unit of the BAC. In case of an existing ad hoc Secretariat, the HoPE shall assign full-time support staff to their BAC Secretariat
			TOTAL	***at least 35***	
Municipalities					
Planning and Design Section	Engineer III Engineer II Architect II	19 16 16	RA 1080, BSCE, 24 Hours relevant training RA 1080, BSCE, 8 Hours relevant training RA 1080, BS Architecture, 8 Hours relevant training	1 5 1	The Municipal Engineering Office has an existing unit who will handle the devolved functions, however, the said unit should be strengthened considering the lack of manpower thereof. The Engineer III will supervise the Engineer II and Architect II personnel. The Engineer II and Architect II will be handling the devolved projects.
Construction Section	Engineer III Engineer II	19 16	RA 1080, BSCE, 8 Hours relevant training	1 5	The Municipal Engineering Office has an existing unit who will handle the devolved functions, however, the said unit should be strengthened considering the lack of manpower thereof. The Engineer III will supervise the Engineer I personnel. The Engineer II will be handling the devolved projects.
Quality Assurance Section	Engineer III Engineer II	19 16	Engineering Competency, Project Management, Stakeholder Management	1 5	The Municipal Engineering Office has an existing unit who will handle the devolved functions, however, the said unit should be strengthened considering the lack of manpower thereof. The Engineer III will supervise the Engineer II personnel. The Engineer II will be handling the devolved projects.

Bids and Awards Committee	Representatives from regular Offices of the P/C (per Sec. 11.2.2 of 2016 Revised IRR of RA 9184		-Unquestionable integrity and procurement proficiency; -attendance to procurement training or capacity development program, authorized by the GPPB-TSO within six (6) months upon designation;	at least 5 but not more than 7	The RIRR of RA 9184, the HOPE of Procuring Entities shall create its Bids and Awards Committee that will undertake the procurement activities of the Office, among others.
Bids and Awards Committee Secretariat	Engineer II (Head of Secretariat) Administrative Officers (to be identified by LGUs)		-Unquestionable integrity and procurement proficiency; -attendance to procurement training or capacity development program, authorized by the GPPB-TSO within six (6) months upon designation;	At least 5	The RIRR of RA 9184, The HoPE shall create a Secretariat which will serve as the main support unit of the BAC. In case of an existing ad hoc Secretariat, the HoPE shall assign full-time support staff to their BAC Secretariat
			TOTAL	***at least 25***	
/a Each level of LGU shall have its own organizational structure, unless deemed unnecessary, and may be further disaggregated per LGU class					

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ANNEX D

Matrix on the Capacity Development Strategy for the National Government Agencies (NGAs)

Department of Public Works and Highways

Office/Unit [1]	Capacities Required [2]	Current Practices, Systems, or Structures [3]	Capacity Gaps [4]	Capacity Development Actions/Activities [5]	Target Period of Implementation for Capacity Development Actions/Activities [6]	Progress Indicators and Measurement Tools [7]	Responsible Organization [8]
DPWH Regional Office – Planning and Design Division – Planning Section; and DPWH District Engineering Office – Planning Section	Training management and facilitation, monitoring and evaluation, designing of trainings, developing training materials	Trainings/ Seminars/ Workshops	Training management and facilitation, designing of trainings, developing training materials	1. Training of Trainers (Convergence Infrastructure Planning, Output and Impact Monitoring and Evaluation) 2. Collaboration on Improving/ Developing Convergence Program Criteria, Guidelines, and Processes to adhere with EO 138 3. Facilitation of networking and collaboration, and cultivation of sharing culture among government agencies	Q4 2021	% of personnel concerned capacitated (measurement tool: improvement rate – pre-test vs. post-test)	DPWH Central Planning Service-DPD, Convergence Program Partners (DILG, DOT, DTI, and DOTr)
DPWH Regional Office – Planning and Design Division – Planning Section; and DPWH District Engineering Office – Planning Section	Research and Evaluation, Training Management and Facilitation, Designing of Trainings, Strategic Communication	Trainings/ Seminars/ Workshops	Research and Evaluation, Training Management and Facilitation, Designing of Trainings	1. Training of Trainers (Conduct of In-House Feasibility Studies for Roads and Bridge Projects) 2. Coaching and mentoring among focal persons	Q4 2021	% of personnel concerned capacitated (measurement tool: improvement rate – pre-test vs. post-test)	DPWH Central Planning Service - Project Preparation Division

DPWH Regional Office – Planning and Design Division – Planning Section; and DPWH District Engineering Office – Planning Section	Training Management and Facilitation, Designing of Trainings, Strategic Communication	Trainings/ Seminars/ Workshops	Training Management and Facilitation, Designing of Trainings	1. Training of Trainers (Conduct of ESIA and Preparation of RAP and GAD) 2. Training of Trainers (Information, Education, Campaign (IEC) on NSSMP)	Q4 2021	% of personnel concerned capacitated (measurement tool: improvement rate – pre-test vs. post-test)	DPWH Central Planning Service - Environmental and Social Safeguards Division
DPWH Regional Office – Planning and Design Division – Planning Section; and DPWH District Engineering Office – Planning Section	Data Gathering and Management, Training Management and Facilitation, Designing of Trainings	Trainings/ Seminars/ Workshops	Data Gathering and Management, Training Management and Facilitation, Designing of Trainings	1. Training of Trainers (Conduct of Road Inventory & Road Condition Assessment Surveys and Roughness Surveys) 2. Training of Trainers (Gathering & consolidation of socio-economic data – conduct of VOC/RUC Surveys to support planning process) 3. Cascading of Information on Data Management using IT-based applications	Q4 2021	% of personnel concerned capacitated (measurement tool: improvement rate – pre-test vs. post-test)	DPWH Central Planning Service – Statistics Division
DPWH Regional Office – Planning and Design Division – Planning Section; and	Training Management and Facilitation, Designing of Trainings, Strategic Communication	Trainings/ Seminars/ Workshops	Training management and facilitation, designing of trainings, developing training materials	Training of Trainers on: 1. Engineering Surveys for Infrastructure 2. Highway Engineering Design 3. Bridge Engineering Design 4. Flood Control and Urban Drainage Design 5. Structural Modelling, Analysis, and Design of Buildings	Q4 2021	% of personnel concerned capacitated (measurement tool: improvement rate – pre-test vs. post-test)	DPWH Central Office – Bureau of Design, DPWH HRAS

DPWH District Engineering Office – Planning Section							
DPWH Regional Office and District Engineering Office	Procurement Proficiency and Monitoring	Trainings, Monitoring and Evaluation Systems	Procurement Requirements, Monitoring, Reportorial Requirements, Use of Procurement System Applications	Training of Trainers (Workshops on the use o f Procurement System Applications and RIRR of RA 9184)	Q4 2021 – Q2 2022	% of personnel concerned capacitated (measurement tool: improvement rate – pre-test vs. post-test)	DPWH Central Office – Procurement Service, DPWH HRAS
DPWH Regional Office and District Engineering Office	Training management, monitoring and evaluation, risk management, stakeholder management	Trainings, Competency Profiling, M&E Systems	Database management, designing of trainings	Training of Trainers on: 6. Comprehensive Training for Project Engineers 7. Quality Assurance in Project Implementation 8. Materials Testing Technology 9. Materials Testing Laboratory Setup and Management 10. Traffic Engineering and Management, Surveys, Analysis and Design 11. Road Safety Engineering	Q4 2021 – Q2 2022	% of personnel concerned capacitated (measurement tool: improvement rate – pre-test vs. post-test)	DPWH Central Office – Bureau of Quality and Safety
DPWH Regional Office and District Engineering Office	Training Management, monitoring and evaluation, risk management, stakeholder management	Trainings/ Seminars/ Workshops	Stakeholder Management, Cascading of Service Delivery Standards	Training of Trainers on: 1. Contract Management specifically POW and ABC 2. Construction Schedule 3. Perfection of Contract 4. Project Monitoring and Documentation 5. Contract-related Claims 6. Project Close-Out	Q4 2021 – Q2 2022	% of personnel concerned capacitated (measurement tool: improvement rate – pre-test vs. post-test)	DPWH Central Office – Bureau of Construction, DPWH HRAS

DPWH Regional Office	Training management and facilitation, monitoring and evaluation, designing of trainings, developing training materials	Trainings, M&E systems	Training management and facilitation, designing of trainings, developing training materials	Trainings Coaching Presentation Skills Training	Q3 2022	100% of RSMEs capacitated (measurement tool: improvement rate – pre-test vs. post-test)	HRDS Outsourced Learning Service Providers

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Legend:

[1] Identifies the corresponding office/unit in the NGA that should be strengthened to undertake the policy and standards development, provision of technical assistance to the LGUs, monitoring and evaluation, and performance assessment of the LGUs in view of the devolution, per the Personnel Services Itemization and Plantilla of Personnel
[2] Specifies the essential or standard capacities that the department/agency/GOCC requires to implement its steering functions/renewed roles, e.g., policy research and evaluation, development of service delivery standards, development of a monitoring and evaluation system, provision of capacity building to the LGUs, assessment of LGU performance, change management, etc., for the effective decentralization/devolution of functions
[3] Indicates the existing practices, systems and/or structures, as well as current level of performance, in the office/unit in relation to the capacities required
[4] Identifies areas which need to be addressed or strengthened
[5] Lays out the specific actions to be undertaken in order to address the gaps or areas for improvement, e.g., attendance to trainings, implementation of coaching programs, development of manuals and systems
[6] Indicates the target period of implementation of column [5], specifying the date/month, if feasible
[7] Identifies outputs and indicators to measure the progress of activities, and the tools which will be used to measure the achievement of milestones
[8] Identifies the organization, unit or person that will provide the capacity development interventions

Notes:

- This shall contain the description of strategies to strengthen the units and personnel of the NGA concerned to assume the organization's renewed role on i) policy formulation and standards setting, ii) monitoring and evaluation, and performance assessment, and iii) capacity building of the LGUs for the effective decentralization/devolution of functions.
- It shall also include the proposed timelines for the purpose.
- The units involved here will mainly be the existing and/or new units identified in the Organizational Effectiveness Proposal of the NGA.

ANNEX E

Matrix on the Capacity Development Strategy for the Local Government Units (LGUs)

Department of Public Works and Highways

Technical Assistance Plan for the Devolution of Functions/Services

Function/Service/ Facility/ Program/Project/Activity [1]	Level and Office of Target Local Government Unit (LGU) [2]	Mode of Technical Assistance [3]	Schedule/ Timeline [4]	Responsible Agency/Office/Unit [5]	Success Indicator for Target LGUs [6]
LOCAL PROGRAM • **Local Infrastructure Program** • **Construction / Repair / Rehabilitation / Improvement of Various Infrastructure including Local Projects**					
1. Buildings and Other Structures • *School Buildings* • *Multi-Purpose Facilities*	Provincial / City / Municipal Engineering Office	1. Identification of participants from LGUS under their respective DPWH DEOs / ROs 2. Orientation of LGUs on the project cycle (planning, design, construction methods, materials quality control, and construction supervision and management) 3. Conduct of seminar/workshop/ training on the activities of project development cycle (Environmental and Social Impact Assessment; Feasibility Studies; Operational Risk Management, Contract Management, Financial Management, Materials Testing Technology, Project Documentation, Occupational Safety and Health in Construction, Disaster Risk Reduction Management, Calibration Training on Laboratory Testing and Equipment, etc.) 4. Conduct of seminar/workshop/ training on related design guidelines, specifications, and existing laws, policies and procedure related to design 5. Publication/dissemination of DPWH Standard Specifications (4 volumes) 6. Provision of published manuals and applicable references and equipment	Q4 2021 Q2 2022 Q4 2022 Q3-Q4 2023	DPWH ROs and DEOs	100% of Target Participants from LGUs trained (Targets established as the result of Phase I, Strategy #3 in Annex B) 100% of published DPWH manuals, applicable references, and equipment are provided

2. Local Roads and Bridges					
• Local Roads • Local Bridges	Provincial / City / Municipal Engineering Office	1. Identification of participants from LGUS under their respective DPWH DEOs / ROs 2. Orientation of LGUs on the project cycle (planning, design, construction methods, materials quality control, and construction supervision and management) 3. Conduct of seminar/workshop/ training on the activities of project development cycle (Environmental and Social Impact Assessment; Feasibility Studies; Operational Risk Management, Contract Management, Financial Management, Materials Testing Technology, Project Documentation, Occupational Safety and Health in Construction, Disaster Risk Reduction Management, Calibration Training on Laboratory Testing and Equipment, etc.) 4. Conduct of seminar/workshop/ training on related design guidelines, specifications, and existing laws, policies and procedure related to design 5. Publication/dissemination of DPWH Standard Specifications (4 volumes) 6. Provision of published manuals and applicable references and equipment	Q4 2021 Q4 2022 Q3-Q4, 2023	DPWH ROs and DEOs	100% of Target Participants from LGUs trained (Targets established as the result of Phase I, Strategy #3 in Annex B) 100% of published DPWH manuals, applicable references, and equipment are provided
3. Local Flood Control Structure and Drainage					
• Drainage/Protection Works • Flood Control Structures/Facilities	Provincial / City / Municipal Engineering Office	1. Identification of participants from LGUS under their respective DPWH DEOs / ROs 2. Orientation of LGUs on the project cycle (planning, design, construction methods, materials quality control, and construction supervision and management) 3. Conduct of seminar/workshop/ training on the activities of project development cycle (Environmental and Social Impact Assessment; Feasibility Studies; Operational Risk Management, Contract Management, Financial Management, Materials Testing Technology, Project Documentation, Occupational Safety and Health in Construction, Disaster Risk Reduction Management, Calibration Training on Laboratory Testing and Equipment, etc.)	Q4 2021 Q4, 2022 Q3-Q4, 2023	DPWH ROs and DEOs	100% of Target Participants from LGUs trained (Targets established as the result of Phase I, Strategy #3 in Annex B) 100% of published DPWH manuals, applicable references, and equipment are provided

		4. Conduct of seminar/workshop/ training on related design guidelines, specifications, and existing laws, policies and procedure related to design 5. Publication/dissemination of DPWH Standard Specifications (4 volumes) 6. Provision of published manuals and applicable references and equipment			
LOCAL CONVERGENCE INFRASTRUCTURE PLANNING	P/C/M/B	1. Assess the LGU capabilities in preparing infrastructure projects for submission to the new convergence program requirements 2. Organize training sessions on utilizing the new Convergence criteria and processes, in developing proposals for infrastructure projects for submission to DPWH 3. Assist the LGUs in planning and sourcing appropriate funds for local infrastructure, in cases where projects are not qualified under the new criteria of the convergence programs a. Capacitate LGUs how to plan and fund (including multi-year funding) their local infrastructure projects. b. Refer important local infra projects to other sources of funds (e.g. local PPP, donor funds, or bank/government bank loans) 4. Organize training sessions on output and impact monitoring and evaluation. 5. Facilitation of networking and collaboration, and cultivation of sharing culture among national government agencies and LGUs a. Organize seminars on related technical topics, and solicit ideas on how national and local governments can work together. b. Sharing of best practices / best projects of the DPWH Convergence Programs c. Sharing of data and analytics of projects and their outcomes of the Convergence Programs	2022-2023	DPWH – Central Office/Regional Office/District Engineering Office (Planning Section) Partner Agencies (DILG, DOT, DTI, DOTr)	Percentage increase on LGUs with compliant submission to the improved Convergence Program Criteria adherent to EO 138 Percentage increase on the no. of LGUs with improved convergence road networks leading to local tourism destinations and local processing hubs.

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Legend:

[1] Specifies the department/agency devolved function, service, facility/PPA as presented in Annex A
[2] Indicates the different target levels of LGUs to whom each kind of technical assistance/capacity building intervention shall be given to, including the specific unit/personnel in the LGU that needs to be capacitated, if applicable
[3] Lists the different specific capacity development interventions or modes of technical assistance the NGA intends to provide to the LGUs to build their capacities and handhold them to manage and implement the devolved function/service/facility
[4] Indicates the schedule/timeline or the implementation period for the identified modes of technical assistance/capacity building interventions
[5] Identifies the office or unit in the NGA responsible for the provision of the specified mode of technical assistance/capacity building intervention
[6] Provides a set of quantitative/measurable values to evaluate the success or achievement of a particular capacity building intervention/mode of technical assistance

Notes:

- This shall detail the strategies proposed to be implemented by the NGAs concerned to capacitate and enable the LGUs to absorb and manage the functions and services to be devolved starting FY 2022 as part of their devolution strategy and activities identified in Annex B. This Annex will likewise be used by the Local Government Academy to input in the LGU Capacity Development Program.
- The technical assistance plan can be based on the different target sets of LGUs grouped according to capacity, resources, and need to determine the kind of intervention to be given.
- Modes of technical assistance may include participation in training programs to be set up and coordinated by the Local Government Academy, conduct of demonstration projects with the LGUs, and entering into memoranda of agreement with the LGUs which need specific types of capacity building interventions.

ANNEX F

Matrix on Monitoring and Performance Assessment of the LGUs in Undertaking the Devolved Functions

Department of Public Works and Highways

Function/Service/Facility/ Program/Project/Activity [1]	Performance Indicator [2]	Baseline [3]	Frequency of Monitoring [4]	Local Government Unit (LGU) Level and Office/Unit Concerned [5]	Existing Performance Evaluation System/Mechanism and Updating Status [6]	Responsible Organization/ Unit in the NGA [7]	NGA Monitoring and Performance Evaluation Strategy [8]
LOCAL PROGRAM • **Local Infrastructure Program** • **Construction / Repair / Rehabilitation / Improvement of Various Infrastructure including Local Projects**							
1. Buildings and Other Structures • *School Buildings* • *Multi-Purpose Facilities*	Percentage of LGUs with a Satisfactory Rating or Higher in the Developed Technical Competency Assessment	N/A	Annually	Provincial / City / Municipal Engineering Office	Design Assessment Guidelines 2021	DPWH BOD / ROs / DEOs in coordination with DILG	Development of Design Management and Monitoring Tools for the devolved functions
					DPWH D.O. 192, series of 2016 "Revised Criteria for Rating Quality Assurance in Project Implementation" DPWH D.O. 114, series of 2018 "Revised Guidelines on the Provisional Accreditation of DPWH Project Engineers and Inspectors" DPWH D.O. 12, series of 2013 "Accreditation of DPWH Materials Engineers"	DPWH BQS / ROs / DEOs in coordination with DILG	Establish QA Rating Procedure to measure LGU performance in project implementation

	Percentage increase in the number of fully functional (School Buildings, Water Systems, Multi-Purpose Buildings) or other applicable indicators	N/A	Annually	Provincial / City / Municipal Engineering Office	PCMA		Development of Measurement Tool for the devolved functions
2. Local Roads and Bridges							
• Local Roads • Local Bridges	Percentage of LGUs with a Satisfactory Rating or Higher in the Developed Technical Competency Assessment	N/A	Annually	Provincial / City / Municipal Engineering Office	Design Assessment Guidelines 2021	DPWH BOD / ROs / DEOs in coordination with DILG	Development of Design Management and Monitoring Tools for the devolved functions
					DPWH D.O. 192, series of 2016 "Revised Criteria for Rating Quality Assurance in Project Implementation" DPWH D.O. 114, series of 2018 "Revised Guidelines on the Provisional Accreditation of DPWH Project Engineers and Inspectors" DPWH D.O. 12, series of 2013 "Accreditation of DPWH Materials Engineers"	DPWH BQS / ROs / DEOs in coordination with DILG	Establish QA Rating Procedure to measure LGU performance in project implementation
	Percentage increase in the length of concrete road constructed	N/A	Annually	Provincial / City / Municipal Engineering Office	PCMA		Development of Measurement Tool for the devolved functions
3. Local Flood Control Structure and Drainage							
• Drainage/Protection Works • Flood Control Structures/Facilities	Percentage of LGUs with a Satisfactory Rating or Higher in the Design Assessment	N/A	Annually	Provincial / City / Municipal Engineering Office	Design Assessment Guidelines 2021	DPWH BOD / ROs / DEOs in coordination with DILG	Development of Design Management and Monitoring Tools for the devolved functions
					DPWH D.O. 192, series of 2016 "Revised Criteria for Rating Quality Assurance in Project Implementation"	DPWH BQS / ROs / DEOs in coordination with DILG	Establish QA Rating Procedure to measure LGU performance in project implementation

					DPWH D.O. 114, series of 2018 "Revised Guidelines on the Provisional Accreditation of DPWH Project Engineers and Inspectors" DPWH D.O. 12, series of 2013 "Accreditation of DPWH Materials Engineers"		
	Percentage increase in the No. of Flood Control Projects constructed	N/A	Annually	Provincial / City / Municipal Engineering Office	PCMA		Development of Measurement Tool for the devolved functions
CONVERGENCE AND SPECIAL SUPPORT PROGRAM							
1. Construction/ Improvement of Access Roads leading to Airports 2. Construction/ Improvement of Access Roads leading to Seaports 3. Construction/ Improvement of Access Roads leading to Railway Stations 4. Construction/ Improvement of Access Roads leading to Declared Tourism Destinations 5. Construction/ Improvement of Access Roads leading to Trades, Industries and Economic Zones 6. Improvement of Capacity of Provincial Bridges along Provincial Roads	Percentage increase in the length of concrete road constructed Percentage decrease in travel time going to Seaports/Airports/Railway Stations/Tourism Destinations/Trades, Industries and Economic Zones	Length of Local Road in the preceding reference period Travel time reduced in the preceding reference period	Annual	Provincial / City / Municipal Engineering Office	Performance Governance System (PGS)	DILG/DPWH concerned	Enhance existing M&E system to cover performance indicators for devolved services Integrate M&E system/ performance indicators in established incentive schemes (e.g., SGLG) Create a Monitoring and Evaluation Team in DPWH Central and Field Offices to strengthen direct coordination with and monitoring of LGUs

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Annexes for the Devolution Transition Plans of National Government Agencies

Legend:

[1] Specifies the department/agency devolved function/service/facility/PPA as presented in Annex A, including **all** devolved services which are already being performed by the LGUs
[2] Identifies the quantified and/or qualified parameters or measures which will be used to assess the presence, extent, and quality, among others, of the devolved function/service
[3] Identifies the baseline information on the indicator before the implementation of the DTP in order for the NGA to objectively assess the devolution process, results, or effectiveness
[4] Indicates the frequency of monitoring the LGUs, be it annual, quarterly, etc., to assess the devolution results or effectiveness
[5] Specifies the level of LGU, and the existing office/unit in the LGU which will need to be monitored by the NGA with regard to the performance indicator, which may also be the source of data with regard to the performance indicators
[6] Indicates the existing performance evaluation system/mechanism of the NGA and the date/year when the system/mechanism was last updated, as applicable
[7] Identifies the organization, unit or person in the NGA responsible for the implementation of the performance evaluation system and mechanism
[8] Identifies the strategy/ies which the NGA needs to undertake to either improve or set up its LGU monitoring and performance evaluation system in the central and regional offices, in terms of organizational unit, staffing and competencies

Notes:

- This matrix shall indicate the plan and systems which will need to be set up or improved in the NGA for monitoring and assessing the performance of the LGUs in undertaking the devolved programs and functions. Specifically, it shall not only identify which systems are existing and are still to be developed, but will also lay down the agency plan to set up its monitoring system to be able to assess the effectivity of its devolution transition plan strategies.
- The monitoring system may include the imposition of sanctions provided by pertinent laws [use column (8)].